STRAIGHTENING LAWS FOR CHOW RINGS OF MATROIDS

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ABSTRACT. We give elementary and non-inductive proofs of three fundamental theorems about Chow rings of matroids: the standard monomial basis, Poincaré duality, and the dragon-Hall–Rado formula. Our approach, which also works for augmented Chow rings of matroids, is based on a straightening law. This approach also gives a decomposition of the Chow ring of a matroid into pieces indexed by flats.

1. INTRODUCTION

A matroid M is a finite nonempty atomic ranked lattice \mathcal{L}_M whose rank function $\mathrm{rk} \colon \mathcal{L}_M \to \mathbb{Z}$ is submodular:

$$\operatorname{rk}(F_1 \vee F_2) + \operatorname{rk}(F_1 \wedge F_2) \leq \operatorname{rk}(F_1) + \operatorname{rk}(F_2) \text{ for all } F_1, F_2 \in \mathcal{L}_{\mathcal{M}}.$$

The minimal element of \mathcal{L}_{M} is usually denoted \emptyset and the maximal element is the ground set, which is usually denoted E. That \mathcal{L} is atomic means that every element is the join of the atoms it contains, and that it is ranked means that every maximal chain in an interval $[\emptyset, F]$ has the same length, which is $\mathrm{rk}(F)$. The rank of a matroid is $\mathrm{rk}(E)$. The elements of \mathcal{L}_{M} are called *flats*. Let $\overline{\mathcal{L}}_{\mathrm{M}} = \mathcal{L}_{\mathrm{M}} \setminus \{\emptyset\}$.

Definition 1.1. The *Chow ring* $\underline{A}^{\bullet}(M)$ of M is the ring given by the presentation

$$\underline{A}^{\bullet}(\mathbf{M}) = \frac{\mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_{\mathbf{M}}}}{((h_F - h_{G \vee F})(h_G - h_{G \vee F}) : F, G \in \overline{\mathcal{L}}_{\mathbf{M}}) + (h_a : a \text{ atom})}.$$

Chow rings of matroids were first considered in [FY04] as a generalization of Chow rings of the wonderful compactifications of hyperplane arrangement complements, which were introduced in [DCP95]. They play a key role in the proof of log-concavity results for matroids [AHK18, BST23, ADH23]. The above definition is called the simplicial presentation of $\underline{A}^{\bullet}(M)$. It was first considered in [Yuz02] and then extensively studied in [BES]. See [LLPP24, Appendix A] for a proof of the equivalence between the above definition of $\underline{A}^{\bullet}(M)$ and the definition used in [FY04]. The Chow ring of a matroid is graded, with each h_F in degree 1. We now state three fundamental results about Chow rings of matroids.

Theorem 1.2. [BES, AHK18, FY04] Let M be a matroid of rank r. Then

(1) The monomials

$$(\underline{\mathrm{SM}}) \qquad \qquad \{h_{F_1}^{a_1} \cdots h_{F_\ell}^{a_\ell} : \emptyset = F_0 < F_1 < \cdots < F_\ell, \ a_i < \mathrm{rk}(F_i) - \mathrm{rk}(F_{i-1}) \ \text{for } i = 1, \dots, \ell\}$$

form an integral basis for $\underline{A}^{\bullet}(\mathbf{M})$.

(2) There is an isomorphism deg: $\underline{A}^{r-1}(\mathbf{M}) \to \mathbb{Z}$ given by

$$(\underline{\mathrm{dHR}}) \qquad \qquad \mathrm{deg}(h_{F_1} \cdots h_{F_{r-1}}) = \begin{cases} 1 & \text{for all } \emptyset \neq T \subseteq [r-1], \ \mathrm{rk}(\bigvee_{i \in T} F_i) \ge |T| + 1 \\ 0 & \text{otherwise.} \end{cases}$$

(3) The pairing

(PD)
$$\underline{A}^{k}(\mathbf{M}) \times \underline{A}^{r-1-k}(\mathbf{M}) \to \mathbb{Z} \text{ given by } (a,b) \mapsto \deg(ab)$$

is unimodular, i.e., it defines an isomorphism $\underline{A}^{k}(M) \to \operatorname{Hom}(\underline{A}^{r-1-k}(M), \mathbb{Z}).$

The augmented Chow ring of a matroid is a variant of the Chow ring of a matroid introduced in [BHM⁺22]. It plays a key role in the proof of the top-heavy conjecture in [BHM⁺].

Definition 1.3. The augmented Chow ring $A^{\bullet}(M)$ of M is the ring given by the presentation

$$A^{\bullet}(\mathbf{M}) = \frac{\mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_{\mathbf{M}}}}{((h_F - h_{G \vee F})(h_G - h_{G \vee F}): F, G \in \overline{\mathcal{L}}_{\mathbf{M}}) + (h_a^2, h_a h_F - h_a h_{F \vee a}: F \in \overline{\mathcal{L}}_{\mathbf{M}}, a \text{ atom})}.$$

See [LLPP24, Appendix A] for a proof of the equivalence between the above definition and the definition used in [BHM⁺22]. Note that $\underline{A}^{\bullet}(M)$ is a quotient of $A^{\bullet}(M)$. We now state three fundamental results about augmented Chow rings of matroids.

Theorem 1.4. $[EL24, BHM^+22]$ Let M be a matroid of rank r. Then

(1) The monomials

(SM)
$$\{h_{F_1}^{a_1} \cdots h_{F_\ell}^{a_\ell} : \emptyset = F_0 < F_1 < \cdots < F_\ell, \ a_1 \le \operatorname{rk}(F_1), \ a_i < \operatorname{rk}(F_i) - \operatorname{rk}(F_{i-1}) \ for \ i = 2, \dots, \ell \}$$
form an integral basis for $A^{\bullet}(\mathbf{M}).$

(2) There is an isomorphism deg: $A^r(M) \to \mathbb{Z}$ given by

(HR)
$$\deg(h_{F_1} \cdots h_{F_r}) = \begin{cases} 1 & \text{for all } T \subseteq [r], \ \operatorname{rk}(\bigvee_{i \in T} F_i) \ge |T| \\ 0 & \text{otherwise.} \end{cases}$$

(3) The pairing

(PD)
$$A^k(\mathbf{M}) \times A^{r-k}(\mathbf{M}) \to \mathbb{Z} \text{ given by } (a,b) \mapsto \deg(ab)$$

is unimodular.

We give elementary and non-inductive proofs of Theorems 1.2 and 1.4. We use only the above definition of a matroid and basic linear algebra. We now discuss the history of the above results.

Theorem <u>SM</u> and SM give standard monomial bases for (augmented) Chow rings of matroids. A Gröbner basis for $\underline{A}^{\bullet}(M)$ was given in [FY04], and this gives a monomial basis for $\underline{A}^{\bullet}(M)$. In [BES, Corollary 3.3.3], it is shown that this monomial basis is essentially equivalent to the one given in Theorem <u>SM</u>. Theorem SM has not appeared explicitly in the literature before, but it is well-known to experts. Using the "free coextension trick", the result of [FY04] can be used to produce a Gröbner basis for $A^{\bullet}(M)$ as well; see [MM23, Section 5]. After some further manipulations this yields Theorem SM; see [EHL23, Theorem 7.7] for a special case. We note that Theorem <u>SM</u> can be easily used to prove that the Gröbner basis given in [FY04] is indeed a Gröbner basis.

Theorem <u>dHR</u> and HR are known as the *dragon Hall-Rado* and *Hall-Rado* formula, respectively, after the Hall-Rado theorem in matroid theory [Rad42]. Theorem <u>dHR</u> is a generalization of Postnikov's dragon marriage theorem [Pos09, Theorem 9.3], and was proven in [BES, Theorem 5.2.4] using an inductive argument based on [Spe08, Proposition 4.4], which relies on a connection between $\underline{A}^{\bullet}(M)$ and the permutohedral toric variety. Theorem HR was proven in [EL24, Theorem 1.3] using a polyhedral interpretation of $A^{\bullet}(M)$. The argument given there can be adapted to prove Theorem <u>dHR</u>; see [EL24, Remark 6.3]. See also [EFLS24, Corollary 4.8]. Even the existence of the isomorphism deg, which is called the *degree map*, is nontrivial. It can be constructed using a tropical interpretation of the Chow ring, see [AHK18, Definition 5.9].

Theorem <u>PD</u> and PD state that (augmented) Chow rings of matroids satisfy a version of *Poincaré duality*. Theorem <u>PD</u> was first proven in [AHK18, Theorem 6.19] using an inductive argument. Different inductive proofs have been given in [BHM⁺22, BDF22]. Non-inductive arguments using Theorem <u>SM</u> have been given in [BES, DR22, PP23]. Theorem PD was proven in [BHM⁺22, Theorem 1.3(4)] using an inductive argument. It can also be deduced from [AHK18, Theorem 6.19]; see [BHM⁺22, Remark 4.1]. There is a generalization of the Chow ring of a matroid to take into account a *building set* on the lattice of flats. Yuzvinsky gave an analogue of Theorem <u>SM</u> and Theorem <u>PD</u> for Chow rings of realizable matroids at the *minimal building set* [Yuz97]. Yuzvinsky's argument requires significant effort to adapt it to all matroids. Feichtner and Yuzvinsky give a Gröbner basis, and therefore a standard monomial basis, for the Chow ring of a matroid at any building set [FY04]. These Gröbner basis arguments are further generalized in [BDF22, PP23].

Besides Poincaré duality, (augmented) Chow rings of matroids satisfy the other parts of the *Kähler* package: the Hard Lefschetz theorem and the Hodge–Riemann relations. At the moment, the only proofs of the full Kähler package rely on intricate inductions [AHK18, BHM⁺22, PP23].

Our approach begins with the augmented Chow ring. We use a "straightening" procedure which allows us to rewrite any monomial in terms of the standard monomials. This implies that the standard monomials span $A^{\bullet}(M)$, and so $A^{r}(M)$ has dimension at most 1. We then directly verify that deg: $A^{r}(M) \to \mathbb{Z}$ defined in Theorem HR is well-defined and an isomorphism. Finally, we prove Poincaré duality and prove the linear independence of the standard monomials simultaneously by showing that a certain matrix is lower triangular. With some additional arguments, we can deduce Theorem 1.2 because $\underline{A}^{\bullet}(M)$ is a quotient of $A^{\bullet}(M)$.

Our approach to Poincaré duality is closely related to the approach in [BES], which is in turn inspired by an argument of Hampe [Ham17] in the case of Boolean matroids. However, there are significant differences. For example, the argument in [BES] relies on Poincaré duality for Boolean matroids.

In Section 2, we prove Theorem 1.4 and then deduce Theorem 1.2 from it. In Section 3, we explain a consequences of our approach: the (augmented) Chow ring of a matroid has a direct sum decomposition indexed by $\overline{\mathcal{L}}_{M}$. We use this to derive a new recursion for the Hilbert series of $A^{\bullet}(M)$ and $\underline{A}^{\bullet}(M)$. This decomposition also gives different proof of Theorem SM. In Section 4, we construct an *algebra with straightening law* related to the Chow ring of a matroid. We use this to give another proof of Theorem <u>SM</u>.

Notation. Throughout, M will be a matroid of rank r. When we consider a monomial $h_{F_1}^{a_1} \cdots h_{F_k}^{a_k}$ in $A^{\bullet}(M)$ or $\underline{A}^{\bullet}(M)$, we always assume the a_i are nonzero, but we allow k = 0. We do not assume the F_i are distinct unless otherwise stated.

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2. Proof of Theorem 1.2 and Theorem 1.4

2.1. Straightening monomials. We begin by using a straightening procedure to prove that the standard monomials, i.e., the elements in Theorem SM, span $A^{\bullet}(M)$. We then use this to prove Theorem HR.

Proposition 2.1. The monomials

 $\{h_{F_i}^{a_1} \cdots h_{F_\ell}^{a_\ell} : \emptyset = F_0 < F_1 < \cdots < F_\ell, \ a_1 \leq \operatorname{rk}(F_1), \ a_i < \operatorname{rk}(F_i) - \operatorname{rk}(F_{i-1}) \ for \ i = 2, \dots, \ell\}$

integrally span $A^{\bullet}(M)$.

We prepare by proving three lemmas.

Lemma 2.2. The monomials

$$\{h_{F_1}^{a_1} \cdots h_{F_{\ell}}^{a_{\ell}} : \emptyset = F_0 < F_1 < \cdots < F_{\ell}\}$$

integrally span $A^{\bullet}(M)$.

Proof. It suffices to write each monomial of the form $m = h_{G_1}^{b_1} \cdots h_{G_\ell}^{b_\ell}$ in terms of the monomials where the flats used form a chain. Suppose that G_i and G_j are distinct and are both maximal in $\{G_1, \ldots, G_\ell\}$. Then we can use the relation

(1)
$$h_{G_i}h_{G_j} = h_{G_i}h_{G_i \vee G_j} + h_{G_j}h_{G_i \vee G_j} - h_{G_i \vee G_j}^2$$

to write m as a sum of monomials where there are fewer distinct maximal elements in the set of flats used in each monomial. Repeating this, we can write m as a sum of monomials where, in each monomial, the set of flats used has a unique maximal element.

We can therefore assume that G_{ℓ} is maximal. If G_i and G_j are distinct maximal elements in $\{G_1, \ldots, G_{\ell-1}\}$, then we use the relation (1). As $G_{\ell} \ge G_i \lor G_j$, G_{ℓ} will still be maximal in each of the resulting terms. Repeating this argument gives the desired result.

Lemma 2.3. Suppose that G covers F in $\overline{\mathcal{L}}_M$, i.e., $F \leq G$ and $\operatorname{rk}(G) = \operatorname{rk}(F) + 1$. Then $h_F h_G = h_G^2$.

Proof. Because \mathcal{L}_{M} is atomic, there is an atom a such that $G = F \vee a$. By the defining relations in A(M), we have that $(h_a - h_G)(h_F - h_G) = 0$ and $h_a h_F = h_a h_G$. The result follows.

Proof of Proposition 2.1. By Lemma 2.2, it suffices to show that each monomial $m = h_{F_1}^{a_1} \cdots h_{F_\ell}^{a_\ell}$, where $\emptyset = F_0 < F_1 < \cdots < F_\ell$, is either equal to a standard monomial or vanishes. If m is not standard, then either $a_1 > \operatorname{rk}(F_1)$ or $a_i \ge \operatorname{rk}(F_i) - \operatorname{rk}(F_{i-1})$ for some $i \ge 2$.

Suppose $a_1 > \operatorname{rk}(F_1)$. Choose a chain of covers $\emptyset = G_0 < G_1 < \cdots < G_{\operatorname{rk}(F_1)} = F_1$. Applying Lemma 2.3 repeatedly, we have that

$$h_{F_1}^{a_1} = h_{G_{\mathrm{rk}(F_1)-1}}^{a_1-1} h_{F_1} = \dots = h_{G_1}^{a_1-\mathrm{rk}(F_1)+1} h_{G_2} \cdots h_{F_1}.$$

As G_1 is an atom and $a_1 - \operatorname{rk}(F_1) + 1 \ge 2$, we see that m = 0 in this case.

Suppose $a_i \ge \operatorname{rk}(F_i) - \operatorname{rk}(F_{i-1})$ for some $i \ge 2$. Choose a chain of covers $F_{i-1} = G_0 < G_1 < \cdots < G_{\operatorname{rk}(F_i) - \operatorname{rk}(F_{i-1})} = F_i$. Applying Lemma 2.3 repeatedly, we have that

$$h_{F_{i-1}}^{a_{i-1}}h_{F_i}^{a_i} = h_{F_{i-1}}^{a_{i-1}}h_{G_1}^{a_i-\operatorname{rk}(F_i)-\operatorname{rk}(F_{i-1})+1}h_{G_2}\cdots h_{G_{\operatorname{rk}(F_i)-\operatorname{rk}(F_{i-1})-1}}h_{F_i} = h_{F_i}^{a_{i-1}+a_i}$$

This rewriting decreases the number of flats in the chain. Applying these two operations shows that m is either equal to a standard monomial or vanishes.

We say that a multiset $\{F_1, \ldots, F_r\}$ of flats satisfies the Hall-Rado condition if, for all $T \subseteq [r]$, $\operatorname{rk}(\bigvee_{i \in T} F_i) \ge |T|$. We say that T witnesses the failure of the Hall-Rado condition if $\operatorname{rk}(\bigvee_{i \in T} F_i) < |T|$. We now prove Theorem HR.

Proof of Theorem HR. By Proposition 2.1, $A^r(\mathbf{M})$ is spanned by h_E^r . Note that $\{E, \ldots, E\}$ satisfies the Hall–Rado condition, so if deg is well-defined then it is an isomorphism. We construct deg by defining a linear map from the degree r part of $\mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_M}$ to \mathbb{Z} using the formula in Theorem HR and showing that it descends to $A^{\bullet}(\mathbf{M})$. It therefore suffices to prove that if $m = h_{F_1} \cdots h_{F_{r-2}}$ is a monomial in the degree r-2 part of $\mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_M}$, then the degree vanishes if we multiply m by any of the defining relations of $A^{\bullet}(\mathbf{M})$.

We first do the relation $h_a^2 = 0$, for a an atom. Set $F_{r-1} = F_r = a$. Then $\{F_1, \ldots, F_r\}$ does not satisfy the Hall-Rado condition because $1 = \operatorname{rk}(F_{r-1} \vee F_r) < 2$.

We now do the relation $h_a h_F = h_a h_{F \vee a}$, for a an atom and $F \in \overline{\mathcal{L}}_M$. Set $F_{r-1} = a, F_r = F$, and $F'_r = F \vee a$. We need to show that $\{F_1, \ldots, F_r\}$ satisfies the Hall–Rado condition if and only if $\{F_1, \ldots, F_{r-1}, F'_r\}$ does. If $\{F_1, \ldots, F_r\}$ satisfies the Hall–Rado condition, then so does $\{F_1, \ldots, F_{r-1}, F'_r\}$ because $F'_r \geq F_r$. Suppose $\{F_1, \ldots, F_r\}$ fails the Hall-Rado condition, so there is some $T \subseteq [r]$ such that $\operatorname{rk}(\bigvee_{i \in T} F_i) < |T|$. We see that T witnesses that $\{F_1, \ldots, F'_r\}$ also fails the Hall-Rado condition unless $r \in T$ and

$$\operatorname{rk}(a \lor \bigvee_{i \in T} F_i) = |T|$$
 and $\operatorname{rk}(a \lor \bigvee_{i \in T} F_i) = \operatorname{rk}(\bigvee_{i \in T} F_i) + 1.$

In this case, taking $T' = T \cup \{r - 1\}$ shows that $\{F_1, \ldots, F'_r\}$ also fails the Hall-Rado condition.

Finally, we do the relation $(h_{F_{r-1}} - h_{F_{r-1} \vee F_r})(h_{F_r} - h_{F_{r-1} \vee F_r}) = 0$, for $F_{r-1}, F_r \in \overline{\mathcal{L}}_M$. Set $S_0 = \{F_1, \ldots, F_r\}$, $S_1 = \{F_1, \ldots, F_{r-1}, F_{r-1} \vee F_r\}$, $S_2 = \{F_1, \ldots, F_{r-1} \vee F_r, F_r\}$, and $S_3 = \{F_1, \ldots, F_{r-1} \vee F_r, F_{r-1} \vee F_r\}$. If S_0 satisfies the Hall–Rado condition, then so do S_1, S_2 , and S_3 . There are then two cases which we must prove are impossible.

Case 1: S_0 fails Hall–Rado, and S_1, S_2, S_3 satisfy Hall–Rado.

Let $T \subseteq [r]$ witness the failure of Hall–Rado for S_0 . If $r - 1 \notin T$, then T witnesses the failure of Hall–Rado for S_2 . If $r \notin T$, then T witnesses the failure of Hall–Rado for S_1 . But if $\{r - 1, r\} \subseteq T$, then T witnesses the failure of Hall–Rado for S_3 .

Case 2: S_0, S_1, S_2 fail Hall–Rado, and S_3 satisfies Hall–Rado.

Let $T_1 \subseteq [r]$ witness that S_1 fails the Hall-Rado condition. We must have $r - 1 \in T_1$ and $r \notin T_2$, as otherwise it would contradict our hypothesis. We can also assume that $T_1 \setminus \{r - 1\}$ does not witness the failure of Hall-Rado for S_3 , so we must have $\operatorname{rk}(\bigvee_{i \in T_1} F_i) = |T_1| - 1$. Similarly, we can find $T_2 \subseteq [r]$ with $r \in T_2, r - 1 \notin T_2$, and $\operatorname{rk}(\bigvee_{i \in T_2} F_i) = |T_2| - 1$. By the submodularity of the rank function, we have that

(2)
$$\operatorname{rk}\left(\left(\bigvee_{i\in T_{1}}F_{i}\right)\wedge\left(\bigvee_{i\in T_{2}}F_{i}\right)\right)+\operatorname{rk}\left(\bigvee_{i\in T_{1}\cup T_{2}}F_{i}\right)\leq\operatorname{rk}\left(\bigvee_{i\in T_{1}}F_{i}\right)+\operatorname{rk}\left(\bigvee_{i\in T_{2}}F_{i}\right).$$

Set $H = \bigvee_{i \in T_1 \cap T_2} F_i$, so $H \leq (\bigvee_{i \in T_1} F_i) \land (\bigvee_{i \in T_2} F_i)$. We may assume that $\operatorname{rk}(H) \geq |T_1 \cap T_2|$, as otherwise $T_1 \cap T_2$ witnesses the failure of Hall–Rado for S_3 . By (2), we get that $\operatorname{rk}(\bigvee_{i \in T_1 \cup T_2} F_i) \leq |T_1 \cup T_2| - 2$. But then $T_1 \cup T_2$ witnesses the failure of Hall–Rado for S_3 .

2.2. Maps between Chow rings. For the proof of Theorem PD and SM, we will use some maps considered in [BHM⁺22, Section 2.6]. For a matroid M of rank r and a flat $G \in \mathcal{L}_{M}$, let M^{G} be the matroid whose lattice of flats is the interval $[\emptyset, G]$, and let M_{G} be the matroid whose lattice of flats is the interval [G, E]. It is easily checked that these are indeed matroids. We will use G to denote the minimal element of M_{G} and the maximal element of M^{G} .

We say that a flat is *nonempty* if it is not minimal and that it is *proper* if it is not maximal. Choose a proper flat G of M. Let \mathcal{A} denote the set of atoms of M which are not contained in G. Set $h_{\emptyset} = 0$, and let

$$x_G = -\sum_{S \subseteq \mathcal{A}} (-1)^{|S|} h_{G \vee \bigvee_{a \in S} a} \in A^{\bullet}(\mathbf{M}).$$

Similarly, if G is a proper nonempty flat, we set $x_G = -\sum_{S \subseteq \mathcal{A}} (-1)^{|S|} h_{G \vee \bigvee_{a \in S} a} \in \underline{A}^{\bullet}(\mathbf{M})$. We will always make clear whether we think of x_G as living in $A^{\bullet}(\mathbf{M})$ or $\underline{A}^{\bullet}(\mathbf{M})$.

Lemma 2.4. Let G be a proper flat of M. There is a surjective ring homomorphism $\varphi_G \colon A^{\bullet}(M) \to A^{\bullet}(M^G) \otimes \underline{A}^{\bullet}(M_G)$ given by $\varphi_G(h_F) = h_F \otimes 1$ if $F \leq G$, and $\varphi_G(h_F) = 1 \otimes h_{F \vee G}$ otherwise. The kernel of φ_G is

$$(h_F: F \text{ covers } G) + (h_H - h_K: K, H \not\leq G \text{ and } H \lor G = K \lor G).$$

When $G = \emptyset$, we interpret $A^{\bullet}(M^{\emptyset})$ as \mathbb{Z} , so φ_{\emptyset} maps $A^{\bullet}(M)$ to $\underline{A}^{\bullet}(M)$.

Proof of Lemma 2.4. Note that $A^{\bullet}(\mathbf{M})$ is a quotient of $\mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_{\mathbf{M}}}$. When we impose the second set of relations in the above ideal, we obtain $\mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_{\mathbf{M}}, F \leq G \text{ or } F > G}$ Note that $A^{\bullet}(\mathbf{M}^G) \otimes \underline{A}^{\bullet}(\mathbf{M}_G)$ is a quotient of this ring, and the image of the ideal defining $A^{\bullet}(\mathbf{M})$ is the ideal defining $A^{\bullet}(\mathbf{M}^G) \otimes \underline{A}^{\bullet}(\mathbf{M}_G)$.

Similarly, we have the following lemma, whose proof is identical to Lemma 2.4.

Lemma 2.5. Let G be a proper nonempty flat of M. There is a surjective ring homomorphism $\varphi_G : \underline{A}^{\bullet}(M) \to \underline{A}^{\bullet}(M^G) \otimes \underline{A}^{\bullet}(M_G)$ given by $\varphi_G(h_F) = h_F \otimes 1$ if $F \leq G$, and $\varphi_G(h_F) = 1 \otimes h_{F \vee G}$ otherwise. The kernel of φ_G is

$$(h_F: F \text{ covers } G) + (h_H - h_K: K, H \leq G \text{ and } H \lor G = K \lor G).$$

Lemma 2.6. Let $F, G \in \overline{\mathcal{L}}_M$, and suppose that G covers $F \wedge G$. Then $h_G h_F = h_G h_{G \vee F}$ in $A^{\bullet}(M)$.

Proof. Because G covers $F \wedge G$, there is an atom a such that $G = (F \wedge G) \vee a$. Then $G \vee F = F \vee a$, so we have

$$h_G h_F = h_G h_{G \vee F} + h_F h_{G \vee F} - h_{G \vee F}^2.$$

We conclude by noting that, by Lemma 2.3, we have

$$h_F h_{G \vee F} = h_F h_{F \vee a} = h_{F \vee a}^2 = h_{G \vee F}^2.$$

Lemma 2.7. Let G be a proper flat. The kernel of $\varphi_G \colon A^{\bullet}(\mathbf{M}) \to A^{\bullet}(\mathbf{M}^G) \otimes \underline{A}^{\bullet}(\mathbf{M}_G)$ is contained in the annihilator $\operatorname{ann}(x_G)$. Similarly, if G is a proper nonempty flat, the kernel of $\varphi_G \colon \underline{A}^{\bullet}(\mathbf{M}) \to \underline{A}^{\bullet}(\mathbf{M}^G) \otimes \underline{A}^{\bullet}(\mathbf{M}_G)$ is contained in $\operatorname{ann}(x_G)$.

Proof. We do the augmented case; the non-augmented case be proved similarly or deduced by applying φ_{\emptyset} . We first show that $x_G \cdot h_F = 0$ if F covers G, i.e., $F = G \vee a$ for some atom $a \notin G$. Let \mathcal{A} be the set of atoms not contained in G. Then

$$x_G \cdot h_{G \lor a} = -\sum_{S \subseteq \mathcal{A}} (-1)^{|S|} h_{G \lor \bigvee_{b \in S} b} h_{G \lor a}$$

Note that $(G \vee \bigvee_{b \in S} b) \wedge (G \vee a)$ is either covered by $G \vee a$ or is $G \vee a$. In either case, by Lemma 2.6, we have $h_{G \vee \bigvee_{b \in S} b}h_{G \vee a} = h_{G \vee a \vee \bigvee_{b \in S} b}^2$. We see that the terms in the sum indexed by those S which contain a cancel with the terms where S does not contain a, and so the sum is 0.

We now show that $x_G(h_H - h_K) = 0$ if $K, H \leq G$ and $H \vee G = K \vee G$. For $S \subseteq \mathcal{A}$, we have

$$h_{G \vee \bigvee_{b \in S} b} h_H = h_{G \vee \bigvee_{b \in S} b} h_{H \vee G \vee \bigvee_{b \in S} b} + h_H h_{H \vee G \vee \bigvee_{b \in S} b} - h_{H \vee G \vee \bigvee_{b \in S} b}^2$$

Because $H \vee G = K \vee G$, the first and third term on the right-hand side cancel with the corresponding term in $x_G h_K$. We get that

$$x_G(h_H - h_K) = -\sum_{S \subseteq \mathcal{A}} (-1)^{|S|} h_H h_{H \vee G \vee \bigvee_{b \in S} b} + \sum_{S \subseteq \mathcal{A}} (-1)^{|S|} h_K h_{K \vee G \vee \bigvee_{b \in S} b}.$$

Because $H \not\leq G$, we may choose an atom $a \leq H$ with $a \not\leq G$. Then the terms in the first sum indexed by those S which contain a cancel with the terms corresponding to S that do not contain a, so the first sum is 0. \Box

In particular, the map $A^{\bullet}(\mathbf{M}) \to A^{\bullet}(\mathbf{M})/\operatorname{ann}(x_G)$ factors through φ_G , and similarly in the non-augmented setting. This will be a useful aid in computations.

2.3. **Projection formulas and dragon-Hall–Rado.** We now show that the maps constructed in the previous section are compatible with degree maps. Along the way, we prove Theorem <u>dHR</u>. First we prove that the standard monomials span $\underline{A}^{\bullet}(M)$.

Proposition 2.8. The monomials

$$\{h_{F_1}^{a_1} \cdots h_{F_\ell}^{a_\ell} : \emptyset = F_0 < F_1 < \cdots < F_\ell, \ a_i < \operatorname{rk}(F_i) - \operatorname{rk}(F_{i-1}) \ for \ i = 1, \dots, \ell\}$$

integrally span $A^{\bullet}(M)$.

Proof. The map $\varphi_{\emptyset} \colon A^{\bullet}(\mathbf{M}) \to \underline{A}^{\bullet}(\mathbf{M})$ is surjective, so by Proposition 2.1, it suffices to show that $h_F^{\mathrm{rk}(F)} = 0$ in $\underline{A}^{\bullet}(\mathbf{M})$. By Lemma 2.3, $h_F^{\mathrm{rk}(F)}$ is divisible by h_a for any atom a contained in F, and so it is 0 in $\underline{A}^{\bullet}(\mathbf{M})$. \Box

We now prove Theorem \underline{dHR} . We deduce it from Theorem HR, although one can also argue analogously to the proof of Theorem HR.

Proof of Theorem <u>dHR</u>. By Proposition 2.8, $\underline{A}^{r-1}(\mathbf{M})$ is spanned by h_E^{r-1} , so if the degree map exists then it is an isomorphism. By Lemma 2.7, there is a surjective ring homomorphism $\underline{A}^{\bullet}(\mathbf{M}) \to A^{\bullet}(\mathbf{M})/\operatorname{ann}(x_{\emptyset})$. Note that $A^{\bullet}(\mathbf{M})/\operatorname{ann}(x_{\emptyset})$ is identified with the ideal (x_{\emptyset}) , with degree shifted by 1. We define the degree map via the composition

$$\operatorname{deg}: \underline{A}^{r-1}(\mathbf{M}) \to A^{r-1}(\mathbf{M}) / \operatorname{ann}(x_{\emptyset}) \to A^{r}(\mathbf{M}) \to \mathbb{Z},$$

where the second map is multiplication by x_{\emptyset} . In order to prove Theorem <u>dHR</u>, it suffices to show that

$$\deg(x_{\emptyset}h_{F_1}\cdots h_{F_{r-1}}) = -\sum_{S\subseteq\mathcal{A}} (-1)^{|S|} \deg(h_{\bigvee_{a\in S} a}h_{F_1}\cdots h_{F_{r-1}})$$
$$= \begin{cases} 1 & \text{for all } \emptyset \neq T \subseteq [r-1], \ \operatorname{rk}(\bigvee_{i\in T} F_i) \ge |T|+1\\ 0 & \text{otherwise.} \end{cases}$$

Suppose that $\{F_1, \ldots, F_{r-1}\}$ satisfies the dragon-Hall–Rado condition. If S is nonempty, $\{\bigvee_{a \in S} a, F_1, \ldots, F_{r-1}\}$ satisfies the Hall–Rado condition. We see that every term in the sum is 1 except for $S = \emptyset$, so the sum is 1.

Now suppose that a multiset $\{F_1, \ldots, F_{r-1}\}$ fails the dragon-Hall–Rado condition. Let

$$\mathcal{S} = \{S \subseteq \mathcal{A} : \{F_1, \dots, F_{r-1}, \bigvee_{a \in S} a\} \text{ fails Hall-Rado}\}.$$

Clearly S is downward closed: if $T \subseteq S \in S$, then $T \in S$. Because $\{F_1, \ldots, F_{r-1}\}$ fails dragon-Hall-Rado, there is some *i* such that $\{a \in \mathcal{A} : a \leq F_i\}$ is contained in S.

Let $I_1, I_2 \in \mathcal{S}$. We claim that $I_1 \cup I_2 \in \mathcal{S}$. If there is a witness to the failure of Hall-Rado for $F_1, \ldots, F_{r-1}, \bigvee_{a \in I_1} a$ which does not contain $\bigvee_{a \in I_1} a$, then this is immediate. Choose $T_1, T_2 \subseteq [r-1]$ such that $\{F_j : j \in T_1\} \cup \{\bigvee_{a \in I_1} a\}$ and $\{F_j : j \in T_2\} \cup \{\bigvee_{a \in I_2} a\}$ witness the failure of Hall-Rado, so

$$\operatorname{rk}(\bigvee_{a \in I_1} a \lor \bigvee_{j \in T_1} F_j) < |T_1| + 1,$$

and similarly for T_2 . By the monotonicity of the rank function, we have that

$$\operatorname{rk}((\bigvee_{a \in I_1} a \lor \bigvee_{j \in T_1} F_j) \land (\bigvee_{a \in I_2} a \lor \bigvee_{j \in T_2} F_j)) \ge \operatorname{rk}(\bigvee_{j \in T_1 \cap T_2} F_j) \ge |T_1 \cap T_2|,$$

where the last inequality is by the assumption that every witness to the failure of Hall–Rado contains $\bigvee_{a \in I_1} a$. By the submodularity of the rank function function, we have that

$$|T_1 \cap T_2| + \operatorname{rk}(\bigvee_{a \in I_1 \cup I_2} a \lor \bigvee_{j \in T_1 \cup T_2} F_j) \le \operatorname{rk}(\bigvee_{a \in I_1} a \lor \bigvee_{j \in T_1} F_j) + \operatorname{rk}(\bigvee_{a \in I_2} a \lor \bigvee_{j \in T_2} F_j)$$

This implies that $\operatorname{rk}(\bigvee_{a \in I_1 \cup I_2} a \lor \bigvee_{j \in T_1 \cup T_2} F_j) < |T_1 \cup T_2| + 1$, so $I_1 \cup I_2 \in S$, as desired.

Therefore S contains a maximal element, so it is a Boolean lattice of size at least 2. We see that the sum is zero.

Let G be a proper flat of M. The tensor product of the degree maps gives an isomorphism deg: $A^{\mathrm{rk}(G)}(\mathrm{M}^G) \otimes \underline{A}^{r-1-\mathrm{rk}(G)}(\mathrm{M}_G) \to \mathbb{Z}$. If G is nonempty, there is an isomorphism deg: $\underline{A}^{\mathrm{rk}(G)-1}(\mathrm{M}^G) \otimes \underline{A}^{r-1-\mathrm{rk}(G)}(\mathrm{M}_G) \to \mathbb{Z}$.

It will be convenient to extend by zero the degree maps to the entirety of $A^{\bullet}(M)$, $\underline{A}^{\bullet}(M)$ and so on. The following lemmas will be critical to the proof of Theorem PD and <u>PD</u>.

Lemma 2.9. Let $y \in A^{r-1}(M)$, and let G be a proper flat. Then

$$\deg(\varphi_G(y)) = \deg(x_G \cdot y)$$

Proof. Lemma 2.7 implies that the right-hand side only depends on $\varphi_G(y)$. As the degree r-1 part of $A^{\bullet}(\mathbf{M}^G) \otimes \underline{A}^{\bullet}(\mathbf{M}_G)$ is \mathbb{Z} , the maps $y \mapsto \deg(\varphi_G(y))$ and $y \mapsto \deg(x_G \cdot y)$ are equal up to a constant.

Let $y = h_G^{\operatorname{rk}(G)} h_E^{r-1-\operatorname{rk}(G)}$. We see from Theorem HR and Theorem <u>dHR</u> that $\operatorname{deg}(\varphi_G(y)) = 1$. Let \mathcal{A} be the set of atoms of M not contained in G. We have

$$\deg(x_G \cdot y) = -\sum_{S \subseteq \mathcal{A}} (-1)^{|S|} \deg(h_{G \vee \bigvee_{a \in S} a} h_G^{\mathrm{rk}(G)} h_E^{r-1-\mathrm{rk}(G)}).$$

The term $S = \emptyset$ vanishes because it does not satisfy the Hall–Rado condition; all other terms are 1, so the sum is 1.

Lemma 2.10. Let $a \in \underline{A}^{\bullet}(M)$, and let G be a proper nonempty flat. Then

$$\deg(\varphi_G(a)) = \deg(x_G \cdot a).$$

Proof. This can be proved as in the proof of Lemma 2.9. Alternatively, we can choose a lift $\tilde{a} \in A^{\bullet}(M)$ such that $\varphi_{\emptyset}(\tilde{a}) = a$ and apply Lemma 2.9 twice to $x_{\emptyset} \cdot x_G \cdot \tilde{a}$.

We will apply Lemma 2.10 iteratively. This will require the following lemmas.

Lemma 2.11. Let G be a proper flat, let H > G, and consider $x_H \in A^{\bullet}(M)$. Then $\varphi_G(x_H) = 1 \otimes x_H \in A^{\bullet}(M^G) \otimes \underline{A}^{\bullet}(M_G)$.

Proof. Let \mathcal{A} be the set of atoms of M not contained in H, and let \mathcal{A}' be the set of atoms of M_G not contained in H. There is a map $p: \mathcal{A} \to \mathcal{A}'$ given by $a \mapsto G \lor a$. Note that for atom $a \in \mathcal{A}'$ and any nonempty subset T of $p^{-1}(a), G \lor \bigvee_{b \in T} b = G \lor a$. It therefore suffices to show that, for any $S \subseteq \mathcal{A}'$, we have

$$(-1)^{|S|} h_{H \vee \bigvee_{a \in S} a} = \sum_{a \in S, \, \emptyset \neq T_a \subseteq p^{-1}(a)} (-1)^{\sum |T_a|} h_{H \vee \bigvee_{a \in S} a} \in \underline{A}^{\bullet}(\mathbf{M}_G),$$

where the sum is over all choices of nonempty subsets T_a of $p^{-1}(a)$ for each $a \in S$. Let $n_1, \ldots, n_{|S|}$ be the sizes of the sets $p^{-1}(a)$ for $a \in S$. Note that each n_i is positive. Then the coefficient of $h_{H \vee \bigvee_{a \in S} a}$ on the right-hand side is

$$((-1+1)^{n_1}-1) \cdot ((-1+1)^{n_2}-1) \cdot \ldots \cdot ((-1+1)^{n_{|S|}}-1) = (-1)^{|S|}.$$

The non-augmented version of the previous lemma can be proved in the same way, or it can be deduced by applying φ_{\emptyset} .

Lemma 2.12. Let G be a proper flat, let H > G, and consider $x_H \in \underline{A}^{\bullet}(M)$. Then $\varphi_G(x_H) = 1 \otimes x_H \in \underline{A}^{\bullet}(M^G) \otimes \underline{A}^{\bullet}(M_G)$.

Remark 2.13. One can additionally show that, if H < G, $\varphi_G(x_H) = x_H \otimes 1$, and that $\varphi_G(x_H) = 0$ if H and G and incomparable. See [BHM⁺22, Proposition 2.17].

2.4. Poincaré duality and linear independence. Now that we have access to Lemma 2.9 and Lemma 2.10, we can begin our proof of Theorem PD. Our strategy is closely related to [BES, Proposition 3.3.10], which is based on [Ham17, Proposition 3.2]. Let $m = h_{F_{i_1}}^{a_1} \cdots h_{F_{i_k}}^{a_k}$ be a standard monomial for $A^{\bullet}(M)$. Extend the chain $F_{i_1} < \cdots < F_{i_k}$ to a maximal chain of flats $\emptyset = F_0 < F_1 < \cdots < F_r = E$. Let \mathcal{G}_m be the collection of flats obtained by removing from this chain the a_j flats below F_{i_j} for each j and removing E. Because m is a standard monomial, $\{F_{i_1}, \ldots, F_{i_k}\} \setminus \{E\} \subseteq \mathcal{G}_m$. We do this process and obtain a collection of flats \mathcal{G}_m for each standard monomial m. We call \mathcal{G}_m the essential flats of m.

We will now prove the key propositions that allow us to prove Theorem SM and Theorem PD. See Example 2.16 for an example illustrating their proofs.

Proposition 2.14. Let $m \in A^{\ell}(M)$ be a standard monomial, and let $\mathcal{G}_m = \{G_1 < \cdots < G_k\}$ be the essential flats. Then $\deg(m \cdot x_{G_1} \cdots x_{G_k}) = 1$.

Proof. Set $G_0 = \emptyset$ and set $G_{k+1} = E$. Note that possibly $G_1 = \emptyset$ as well. Applying Lemma 2.9, Lemma 2.10, Lemma 2.12, and Lemma 2.11, we can write the degree as a degree in $A^{\bullet}(\mathcal{M}_{G_0}^{G_1}) \otimes \underline{A}^{\bullet}(\mathcal{M}_{G_1}^{G_2}) \otimes \cdots \otimes \underline{A}^{\bullet}(\mathcal{M}_{G_k}^{G_{k+1}})$. Here if $\mathrm{rk}(G_{i+1}) = \mathrm{rk}(G_i) + 1$, then we interpret $\underline{A}^{\bullet}(\mathcal{M}_{G_i}^{G_{i+1}})$ as \mathbb{Z} , and similarly if $\mathrm{rk}(G_1) = 0$.

The only terms which are not \mathbb{Z} are $\underline{A}^{\bullet}(\mathbf{M}_{G_i}^{G_{i+1}})$ if $\mathrm{rk}(G_{i+1}) - \mathrm{rk}(G_i) > 1$ and $A^{\bullet}(\mathbf{M}_{G_0}^{G_1})$ if $\mathrm{rk}(G_1) > 0$. From the construction of \mathcal{G}_m , we see that, if $i \neq 0$, then $h_{G_{i+1}}^{\mathrm{rk}(G_{i+1}) - \mathrm{rk}(G_i) - 1}$ appears in m. If i = 0 and $\mathrm{rk}(G_1) > 0$, then $h_{G_1}^{\mathrm{rk}(G_1)}$ appears in m. If i = 0 and $\mathrm{rk}(G_1) > 0$, then $h_{G_1}^{\mathrm{rk}(G_1)}$ appears in m. In the first case, after applying φ_{G_i} for all $G_i \in \mathcal{G}_m$, $h_{G_{i+1}}^{\mathrm{rk}(G_{i+1}) - \mathrm{rk}(G_i) - 1}$ lands in top degree in $\underline{A}^{\bullet}(\mathbf{M}_{G_i}^{G_i+1})$. In the second case, $h_{G_1}^{\mathrm{rk}(G_1)}$ lands in top degree in $A^{\bullet}(\mathbf{M}_{G_0}^{G_0})$. By Theorem HR and <u>dHR</u>, we see that the degree is 1.

For a standard monomial $m = h_{F_1}^{a_1} \cdots h_{F_k}^{a_k}$, we set $\delta(m) = (\sum_{\mathrm{rk}(F_i) \leq 1} a_i, \sum_{\mathrm{rk}(F_i) \leq 2} a_i, \dots, \sum_{\mathrm{rk}(F_i) \leq r} a_i).$

Proposition 2.15. Let $m \in A^{\ell}(M)$ be a standard monomial, and let $\mathcal{G}_m = \{G_1 < \cdots < G_k\}$ be the essential flats. Let $m' \in A^{\ell}(M)$ be a standard monomial which has $\deg(m' \cdot \prod_{G \in \mathcal{G}_m} x_G) \neq 0$. Then either m = m' or $\delta(m') > \delta(m)$ lexicographically.

Proof. Set $G_0 = \emptyset$ and set $G_{k+1} = E$. As in the proof of Proposition 2.14, we can write the degree as a degree in $A^{\bullet}(\mathcal{M}_{G_0}^{G_1}) \otimes \underline{A}^{\bullet}(\mathcal{M}_{G_1}^{G_2}) \otimes \cdots \otimes \underline{A}^{\bullet}(\mathcal{M}_{G_k}^{G_{k+1}})$. As before, the top degree of $\underline{A}^{\bullet}(\mathcal{M}_{G_i}^{G_{i+1}}) = \mathbb{Z}$ is $\mathrm{rk}(G_{i+1}) - \mathrm{rk}(G_i) - 1$. Also, the top degree of $A^{\bullet}(\mathcal{M}_{G_0}^{G_1})$ is $\mathrm{rk}(G_1)$.

Let $m' = h_{F_1}^{a_1} \cdots h_{F_\ell}^{a_\ell}$. Let G_j be the least element of \mathcal{G}_m with $G_j \geq F$. After applying φ_{G_i} for all $i \in \mathcal{G}_m, h_{F_i}^{a_i}$ is mapped to $1 \otimes \cdots \otimes h_{G_j \vee F_i}^{a_i} \otimes \cdots \otimes 1$. In particular, for each i > 0 with $\operatorname{rk}(G_{i+1}) - \operatorname{rk}(G_i) > 1$, $\operatorname{deg}(m' \cdot \prod_{G \in \mathcal{G}_m} x_G)$ vanishes unless there are flats F_j, \ldots, F_p appearing in m' with $a_j + \cdots + a_p = \operatorname{rk}(G_{i+1}) - \operatorname{rk}(G_i) > 1$, $\operatorname{rk}(G_i) - 1$ and $F_q \leq G_{i+1}, F_q \not\leq G_i$ for each $q = j, \ldots, p$. Similarly, if $\operatorname{deg}(m' \cdot \prod_{G \in \mathcal{G}_m} x_G)$ is nonzero and $\operatorname{rk}(G_1) > 0$, then there must be F_1, \ldots, F_p appearing in m' with $a_1 + \cdots + a_p = \operatorname{rk}(G_1)$ and $F_q \leq G_1$ for each $q = 1, \ldots, p$. Adding these conditions up, this implies that the degree vanishes if $\delta(m') < \delta(m)$ or if $\delta(m) = \delta(m')$ and $m \neq m'$.

Example 2.16. Let M be the Boolean matroid of rank 6, i.e., \mathcal{L}_{M} is the Boolean lattice on 6 elements. Let $F_{i} = \{1, \ldots, i\}$ for $i = 0, 1, \ldots, 6$. Let $m = h_{F_{2}}h_{F_{5}}^{2}$. We may take $\mathcal{G}_{m} = \{F_{0}, F_{2}, F_{5}\}$. We apply $\varphi_{F_{0}}$, then $\varphi_{F_{2}}$, and then $\varphi_{F_{5}}$ to write deg $(m \cdot x_{F_{0}}x_{F_{2}}x_{F_{5}})$ as a degree in

$$\underline{A}^{\bullet}(\mathbf{M}^{F_2}) \otimes \underline{A}^{\bullet}(\mathbf{M}^{F_5}_{F_2}) \otimes \underline{A}^{\bullet}(\mathbf{M}_{F_5}) = \underline{A}^{\bullet}(\mathbf{M}^{F_2}) \otimes \underline{A}^{\bullet}(\mathbf{M}^{F_5}_{F_2}).$$

We have $\varphi_{F_5} \circ \varphi_{F_2} \circ \varphi_{F_0}(h_{F_2}) = h_{F_2} \otimes 1$ and $\varphi_{F_5} \circ \varphi_{F_2} \circ \varphi_{F_0}(h_{F_5}^2) = 1 \otimes h_{F_5}^2$, so the degree is 1.

Let m' be a standard monomial where the rank of the smallest flat appearing is at least 3, so $\delta(m') < \delta(m)$ lexicographically. Then, for each h_G appearing in m', we have

$$\varphi_{F_5} \circ \varphi_{F_2} \circ \varphi_{F_0}(h_{F_2}) = \begin{cases} 1 \otimes h_{G \vee F_2} & G \leq F_5 \\ 0 & G \not\leq F_5 \end{cases}$$

In particular, no term appearing in m' maps to something of the form $h_F \otimes 1$. This implies that deg $(m' \cdot$ $x_{F_0} x_{F_2} x_{F_5} = 1.$

Proof of Theorem PD and SM. Fix $0 \le k \le r$. Choose a total order < on the set of standard monomials of degree k such that m < m' if $\delta(m) < \delta(m')$ lexicographically. For each standard monomial m, we have an element $d(m) \coloneqq \prod_{G \in \mathcal{G}_m} x_G \in A^{r-k}(M)$. By Proposition 2.14 and Proposition 2.15, the matrix whose rows and columns are labeled by standard monomials of degree k, and whose entry indexed by (m, m') is $\deg(m \cdot d(m'))$, is lower triangular with 1's on the diagonal. This implies that the standard monomials of degree k are linearly independent, so, by Proposition 2.1, they are a basis.

We also see that dim $A^k(\mathbf{M}) \leq \dim A^{r-k}(\mathbf{M})$. Replacing k by r-k, we see that dim $A^k(\mathbf{M}) = \dim A^{r-k}(\mathbf{M})$, and so the d(m) rationally span $A^{r-k}(M) \otimes \mathbb{Q}$. Because the determinant of the pairing between $A^k(M)$ and the subgroup of $A^{r-k}(M)$ spanned by the d(m) is 1, we see that the d(m) must integrally span $A^{r-k}(M)$, which proves Theorem PD. \Box

In order to prove Theorem PD and SM, we will need an analogue of Proposition 2.14 and 2.15 for nonaugmented Chow rings. We will deduce these from their augmented versions.

For a standard monomial $m = h_{F_{i_1}}^{a_1} \cdots h_{F_{i_k}}^{a_k}$ for $\underline{A}^{\bullet}(\mathbf{M})$, we define \mathcal{G}_m in the same way as in the augmented setting: extend the chain $F_{i_1} < \cdots < F_{i_k}$ to a maximal chain of flats $\emptyset = F_0 < F_1 < \cdots < F_r = E$. Let \mathcal{G}_m be collection of flats obtained by removing from this chain the a_i flats below F_{i_i} for each j and removing E. Because m is a standard monomial for $\underline{A}^{\bullet}(\mathbf{M}), \emptyset \in \mathcal{G}_m$. We define $\delta(m)$ in the same way as for standard monomials for $A^{\bullet}(M)$.

Proposition 2.17. Let m be a standard monomial of $\underline{A}^{\bullet}(M)$. Then

- (1) we have that $\deg(m \cdot \prod_{\emptyset \neq G \in \mathcal{G}_m} x_G) = 1$. (2) for each standard monomial m' for $\underline{A}^{\bullet}(\mathbf{M})$ with $\deg(m' \cdot \prod_{G \in \mathcal{G}_m} x_G) \neq 0$, either m = m' or $\delta(m') > 0$. $\delta(m)$ lexicographically.

Proof. Let $m = h_{F_1}^{a_1} \cdots h_{F_k}^{a_k}$. By Proposition 2.9, we have that the degree $\deg(m \cdot \prod_{\emptyset \neq G \in \mathcal{G}_m} x_G)$ in $\underline{A}^{\bullet}(\mathbf{M})$ is equal to the degree in $A^{\bullet}(\mathbf{M})$ of $h_{F_1}^{a_1} \cdots h_{F_k}^{a_k}$ times $\prod_{G \in \mathcal{G}_m} x_G$. The result then follows from Proposition 2.14 and Proposition 2.15.

Proof of Theorem <u>PD</u> and <u>SM</u>. Fix $0 \le k \le r$. Choose a total order < on the set of standard monomials of degree k such that $m < \overline{m'}$ if $\delta(m) < \delta(\overline{m'})$ lexicographically. For each standard monomial m, we have an element $d(m) \coloneqq \prod_{\emptyset \neq G \in \mathcal{G}_m} x_G \in \underline{A}^{r-1-k}(\mathbf{M})$. By Proposition 2.17, the matrix whose rows and columns are labeled by standard monomials of degree k, and whose entry indexed by (m, m') is deg $(m \cdot d(m'))$, is lower triangular with 1's on the diagonal. As in the proof of Theorem PD and SM, this implies the linear independence of the standard monomials and Poincaré duality.

3. Gradings by \mathcal{L}_{M}

One corollary of our approach is the existence of a "grading" of $A^{\bullet}(M)$ by \mathcal{L}_{M} , which we now study. Special cases of this decomposition were used in [EHKR10, Section 5.1] and [Rai10]. For a flat F, let $A^{\bullet}(M)_F$ be the span of the monomials $h_{G_1}^{a_1} \cdots h_{G_k}^{a_k}$, where $G_1 \vee \cdots \vee G_k = F$. For example, $A^{\bullet}(M)_{\emptyset} = \text{span}(1)$.

Proposition 3.1. We have a direct sum decomposition

$$A^{\bullet}(\mathbf{M}) = \bigoplus_{F \in \mathcal{L}_{\mathbf{M}}} A^{\bullet}(\mathbf{M})_{F}.$$

Proof. There is clearly such a decomposition for $\mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_M}$, and the relations in $A^{\bullet}(M)$ respect this decomposition.

Lemma 3.2. Let F be a proper nonempty flat of M. There is a graded ring isomorphism $\bigoplus_{G \leq F} A^{\bullet}(M^{F})_{G} \xrightarrow{\sim} \bigoplus_{G \leq F} A^{\bullet}(M)_{G}$ given by $h_{G} \mapsto h_{G}$. In particular, the graded abelian groups $A^{\bullet}(M^{F})_{F}$ and $A^{\bullet}(M)_{F}$ are isomorphic.

Proof. Note that the subring $\bigoplus_{G \leq F} A^{\bullet}(\mathbf{M})_F$ of $A^{\bullet}(\mathbf{M})$ is generated by h_G for $G \leq F$, and the relations in $\bigoplus_{G < F} A^{\bullet}(\mathbf{M}^F)_F$ and $\bigoplus_{G < F} A^{\bullet}(\mathbf{M})_F$ are the same. \Box

If $\operatorname{rk}(M) > 0$, the truncation Tr M is the matroid whose lattice of flats $\mathcal{L}_{\operatorname{Tr}M}$ is obtained by removing the flats F with $\operatorname{rk}(F) = \operatorname{rk}(E) - 1$. There is a surjective ring homomorphism $A^{\bullet}(M) \to A^{\bullet}(\operatorname{Tr} M)$ given by $h_F \mapsto h_E$ if $\operatorname{rk}(F) = \operatorname{rk}(E) - 1$ and $h_F \mapsto h_F$ otherwise. The kernel of this map is $(h_E - h_F : \operatorname{rk}(F) = \operatorname{rk}(E) - 1)$.

Lemma 3.3. Let M be a matroid of rank r > 0. There is an isomorphism of graded $A^{\bullet}(M)$ -modules $A^{\bullet}(\operatorname{Tr} M)[-1] \xrightarrow{\sim} A^{\bullet}(M)_E$ given by $1 \mapsto h_E$.

Proof. By Lemma 2.2 and its proof, $A^{\bullet}(\mathbf{M})_E$ is the ideal generated by h_E . We have an identification of $A^{\bullet}(\mathbf{M})$ -modules $A^{\bullet}(\mathbf{M})/\operatorname{ann}(h_E)[-1] \xrightarrow{\sim} (h_E)$ given by multiplication by h_E .

We claim that the kernel of the map $A^{\bullet}(\mathbf{M}) \to A^{\bullet}(\mathrm{Tr}\,\mathbf{M})$ is $\operatorname{ann}(h_E)$, which concludes the proof. If F is a flat of \mathbf{M} with $\operatorname{rk}(F) = r - 1$, then $h_E(h_F - h_E) = 0$ by Lemma 2.3, so $\operatorname{ann}(h_E)$ contains the kernel. Note that h_E^{r-1} is nonzero in $A^{\bullet}(\mathbf{M})/\operatorname{ann}(h_E)$ by Theorem HR. Poincaré duality for $A^{\bullet}(\mathrm{Tr}\,\mathbf{M})$ then implies that the surjective map $A^{\bullet}(\mathrm{Tr}\,\mathbf{M}) \to A^{\bullet}(\mathbf{M})/\operatorname{ann}(h_E)$ is an isomorphism because it is an isomorphism in degree r - 1. Indeed, Poincaré duality implies that every nonzero ideal of $A^{\bullet}(\mathrm{Tr}\,\mathbf{M})$ intersects $A^{r-1}(\mathrm{Tr}\,\mathbf{M})$ nontrivially.

Combining Lemma 3.2 with Lemma 3.3 gives that, if F is a nonempty flat, then $A^{\bullet}(\mathbf{M})_F \xrightarrow{\sim} A^{\bullet}(\operatorname{Tr} \mathbf{M}^F)[-1]$ as graded abelian groups. In particular, $A^{\bullet}(\mathbf{M})_F$ vanishes above degree $\operatorname{rk}(F)$ and is 1-dimensional in degree $\operatorname{rk}(F)$. By Theorem SM, we see that $A^{\operatorname{rk}(F)}(\mathbf{M})_F$ is spanned by $h_F^{\operatorname{rk}(F)}$. In particular, a monomial $h_{G_1}^{a_1} \cdots h_{G_k}^{a_k}$, with $a_1 + \cdots + a_k = \operatorname{rk}(F)$ and $G_i \leq F$ for each i, is either 0 or equal to $h_F^{\operatorname{rk}(F)}$.

The graded Möbius algebra $H^{\bullet}(M)$ of a matroid M is a ring which is $\bigoplus_{F \in \mathcal{L}_M} y_F \cdot \mathbb{Z}$ as an abelian group, with multiplication $y_F \cdot y_G = y_{F \vee G}$ if $\operatorname{rk}(F) + \operatorname{rk}(G) = \operatorname{rk}(F \vee G)$ and $y_F \cdot y_G = 0$ otherwise. Note that $H^{\bullet}(M)$ is graded, with y_F in degree $\operatorname{rk}(F)$, and that $H^{\bullet}(M)$ is generated in degree 1. A detailed study of modules over the graded Möbius algebra is central to the proof of the top-heavy conjecture in [BHM⁺]. One of the key results is the following realization of $H^{\bullet}(M)$ as a subring of $A^{\bullet}(M)$. We give a simple proof.

Proposition 3.4. [BHM⁺22, Proposition 2.15] There is an injective ring homomorphism $H^{\bullet}(M) \to A^{\bullet}(M)$, defined by sending y_a to h_a for each atom of \mathcal{L}_M .

Proof. Let $a_1, \ldots, a_{\operatorname{rk}(F)}$ be atoms with $\bigvee_{i=1}^{\operatorname{rk}(F)} a_i = F$. By Theorem HR, $\operatorname{deg}(h_{a_1} \cdots h_{a_{\operatorname{rk}(F)}} h_E^{r-\operatorname{rk}(F)}) = 1$. In particular, by the discussion above, we have that $h_{a_1} \cdots h_{a_{\operatorname{rk}(F)}} = h_F^{\operatorname{rk}(F)}$. By the direct sum decomposition in Proposition 3.1, the subalgebra generated by the h_a for a an atom has a basis given by $\{h_F^{\operatorname{rk}(F)}\}_{F \in \mathcal{L}_M}$. We therefore see that this algebra is isomorphic to $H^{\bullet}(M)$.

For a matroid M, let $H_M(t)$ be the Hilbert series of $A^{\bullet}(M)$, and let $\underline{H}_M(t)$ be the Hilbert series of $\underline{A}^{\bullet}(M)$. These polynomials, which are sometimes called (augmented) Chow polynomials, have been extensively studied in [JKU21] and especially [FMSV22], where the authors derive several recursive relations between them. The analysis in this section immediately generalizes to $\underline{A}^{\bullet}(M)$, and this gives new recursions for $H_M(t)$ and $\underline{H}_M(t)$.

Corollary 3.5. We have that

$$\mathbf{H}_{\mathbf{M}}(t) = 1 + \sum_{F \in \overline{\mathcal{L}}_{\mathbf{M}}} t \cdot \mathbf{H}_{\operatorname{Tr} \mathbf{M}^{F}}(t) \quad and \quad \underline{\mathbf{H}}_{\mathbf{M}}(t) = 1 + \sum_{F \in \mathcal{L}_{\mathbf{M}}, \, \operatorname{rk}(F) \geq 2} t \cdot \underline{\mathbf{H}}_{\operatorname{Tr} \mathbf{M}^{F}}(t).$$

Using Lemma 3.3, we give a second proof of Theorem SM; Theorem <u>SM</u> can be proved similarly. Note that the proof of Lemma 3.3 used Poincaré duality for $A^{\bullet}(\operatorname{Tr} M)$.

Proof of Theorem SM. We have the decomposition

$$A^{\bullet}(\mathbf{M}) = \mathbb{Z} \oplus \bigoplus_{F \in \overline{\mathcal{L}}_{\mathbf{M}}} A^{\bullet}(\operatorname{Tr} \mathbf{M}^{F})[-1].$$

By induction, we have a standard monomial basis for each summand on the right-hand side. In the above decomposition, a monomial $h_{G_1}^{a_1} \cdots h_{G_k}^{a_k}$ in $A^{\bullet}(\operatorname{Tr} \mathcal{M}^F)$ is mapped to the monomial $h_{G_1}^{a_1} \cdots h_{G_k}^{a_k} \cdot h_F$ in $A^{\bullet}(\mathcal{M})$. As $h_{G_1}^{a_1} \cdots h_{G_k}^{a_k}$ is standard in $A^{\bullet}(\operatorname{Tr} \mathcal{M}^F)$ if and only if $h_{G_1}^{a_1} \cdots h_{G_k}^{a_k} \cdot h_F$ in $A^{\bullet}(\mathcal{M})$, this implies the result. \Box

Remark 3.6. The geometry of the decomposition in Proposition 3.1 is explained in [Rai10, Section 2]. For each $F \in \overline{\mathcal{L}}_M$, there is an idempotent projection $A^{\bullet}(M) \to A^{\bullet}(M)$ given by $h_G \mapsto h_G$ if $G \leq F$, and otherwise $h_G \mapsto 0$. This map factors through $A^{\bullet}(M^F)$, and, when M is realizable, it arises from a retraction of the augmented wonderful variety of a realization whose image is the augmented wonderful variety of a realization of M^F . These projections commute, and $A^{\bullet}(M)_F$ is the set of elements of $A^{\bullet}(M)$ which are fixed by the projection associated to F and killed by the projection associated to G for all G < F.

4. Algebras with straightening laws

In this section, we construct an algebra with straightening law which is closely related to the (augmented) Chow ring of a matroid. Algebras with straightening laws, also known as *ordinal Hodge algebras* [DCEP82], are certain algebras which are equipped with a standard monomial basis. We follow [BV88] for conventions on algebras with straightening laws.

Definition 4.1. Let B^{\bullet} be a graded algebra over a ring R, and let (Π, \leq) be a finite poset equipped with an injection $\Pi \to B^{\bullet}$ which identifies Π with a subset of B^{\bullet} . Assume that $B^{0} = R$, and that the elements of Π are homogeneous of positive degree. We say that B^{\bullet} is an algebra with straightening law over Π if

- (1) the standard monomials $\{y_1^{a_1}\cdots y_k^{a_k}: y_1 \leq \cdots \leq y_k \in \Pi\}$ form an *R*-basis for B^{\bullet} , and
- (2) for each $x, y \in \Pi$ incomparable, when we express xy in terms of the standard monomial basis $xy = \sum a_{\mu}\mu$, where $a_{\mu} \in R$ and μ is a standard monomial, each μ with $a_{\mu} \neq 0$ contains a factor of some $z \in \Pi$ with z < x and z < y.

We will work in a more general setting than matroids. Let \mathcal{L} be a finite *meet-semilattice*, i.e., a finite partially ordered set where any two elements x, y have a greatest lower bound $x \wedge y$. There is a minimal element $\hat{0}$ of \mathcal{L} . Our main example will be $\overline{\mathcal{L}}_{M}^{op}$, i.e., the inverted poset of flats of a matroid with the empty set removed. Here the minimal element is E.

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Theorem 4.2. Let \mathcal{L} be a finite meet-semilattice, and let

$$B^{\bullet}(\mathcal{L}) = \frac{\mathbb{Z}[h_x]_{x \in \mathcal{L}}}{((h_x - h_{x \wedge y})(h_y - h_{x \wedge y}) : x, y \in \mathcal{L})}, \text{ with } h_x \text{ in degree 1.}$$

Then $B^{\bullet}(\mathcal{L})$ is an algebra with straightening law over \mathcal{L} .

When $\mathcal{L} = \overline{\mathcal{L}}_{\mathrm{M}}^{\mathrm{op}}$, then

$$B^{\bullet}(\mathcal{L}) = \frac{\mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_{\mathrm{M}}}}{((h_F - h_{G \vee F})(h_G - h_{G \vee F}) : F, G \in \overline{\mathcal{L}}_{\mathrm{M}})}$$

There is a quotient map from $B^{\bullet}(\mathcal{L})$ to $A^{\bullet}(M)$ and $\underline{A}^{\bullet}(M)$. In particular, the straightening procedure used in the proof of Lemma 2.2 is a shadow of the straightening law on $B^{\bullet}(\mathcal{L})$. This is made precise in the proof of Theorem <u>SM</u> at the end of this section.

The order complex of \mathcal{L} is the simplicial complex whose faces are given by chains in \mathcal{L} . Let $C^{\bullet}(\mathcal{L})$ denote the Stanley–Reisner ring of the order complex of \mathcal{L} , with variables $\{s_x : x \in \mathcal{L}\}$. The theory of algebras with straightening laws shows that $B^{\bullet}(\mathcal{L})$ has a Gröbner degeneration to $C^{\bullet}(\mathcal{L})$. Note that $B^{\bullet}(\mathcal{L})$ is itself isomorphic to the Stanley–Reisner ring of the order complex of \mathcal{L} , via the map which sends h_x to $\sum_{y \leq x} s_y$. Note that this is not an isomorphism of algebras with straightening laws when $C^{\bullet}(\mathcal{L})$ is considered with the injection $\mathcal{L} \to C^{\bullet}(\mathcal{L})$ by $x \mapsto s_x$.

The proof of Theorem 4.2 is similar to the geometric argument used to show that the homogeneous coordinate ring of a Schubert variety in the Grassmannian is an algebra with straightening law, see [DCEP82, Proposition 1.3]. We prepare for the proof of Theorem 4.2 with a lemma. We thank Aldo Conca for explaining the proof to us.

Lemma 4.3. The element $h_{\hat{0}}$ is a non zero-divisor in $B^{\bullet}(\mathcal{L})$.

Proof. Choose an ordering x_1, \ldots, x_n of the elements of \mathcal{L} where $x_n = \hat{0}$. For $i = 1, \ldots, n-1$, set $u_i = h_{x_i} - h_{x_{i+1}}$. Then the elements $u_1, \ldots, u_{n-1}, h_{x_n}$ form a basis for the degree 1 part of the polynomial ring $\mathbb{Z}[h_F]_{F \in \hat{\mathcal{L}}}$. After we change to this basis, none of the elements of ideal defining $B^{\bullet}(\mathcal{L})$ involve $h_{x_n} = h_{\hat{0}}$. As the ideal of $B^{\bullet}(\mathcal{L})$ is not the unit ideal because it is graded, $h_{\hat{0}}$ is a non zero-divisor.

Proof of Theorem 4.2. If $x, y \in \mathcal{L}$ are incomparable, then the relation

$$h_x h_y = h_x h_{x \wedge y} + h_y h_{x \wedge y} - h_{x \wedge y}^2$$

shows that Definition 4.1(2) is satisfied. The argument in Lemma 2.2 shows that $B^{\bullet}(\mathcal{L})$ is spanned by standard monomials, so it suffices to show that the standard monomials are linearly independent. Adjoin a maximal element $\hat{1}$ to \mathcal{L} to form $\hat{\mathcal{L}}$. Let $B^{\bullet}(\mathcal{L})_x$ be the span of monomials $h_{y_1}^{a_1} \cdots h_{y_k}^{a_k}$ such that $y_1 \wedge \cdots \wedge y_k = x$. For example, $B^{\bullet}(\mathcal{L})_{\hat{1}} = \text{span}(1)$. As in the proof of Proposition 3.1, there is a direct sum decomposition

$$B^{\bullet}(\mathcal{L}) = \bigoplus_{x \in \hat{\mathcal{L}}} B^{\bullet}(\mathcal{L})_x.$$

It therefore suffices to show that the standard monomials $h_{x_1}^{a_1} \cdots h_{x_k}^{a_k}$, with $x_1 \leq \cdots \leq x_k$, are linearly independent in $B^{\bullet}(\mathcal{L})_{x_1}$.

Let $\hat{\mathcal{L}}_x$ be the interval $[x, \hat{1}]$ in $\hat{\mathcal{L}}$. We see that $\hat{\mathcal{L}}_x \setminus \hat{1}$ is a meet semilattice, and, as in the proof of Lemma 3.2, we have

$$B^{\bullet}(\mathcal{L})_x \xrightarrow{\sim} B^{\bullet}(\hat{\mathcal{L}}_x \setminus \hat{1})_{\hat{0}}$$

In particular, by induction it suffices to show that the standard monomials where $h_{\hat{0}}$ appears are linearly independent. If there was a linear dependence among the standard monomials where $h_{\hat{0}}$ appears, then that would imply that $h_{\hat{0}}$ is a zero-divisor, which contradicts Lemma 4.3.

One could alternatively establish the linear independence of the standard monomials using the isomorphism $C^{\bullet}(\mathcal{L}) \to B^{\bullet}(\mathcal{L})$.

Using Theorem 4.2 in the case $\mathcal{L} = \overline{\mathcal{L}}_{M}^{op}$, we can give another proof of Theorem <u>SM</u>. One can prove Theorem SM using a similar but more lengthy argument.

Proof of Theorem <u>SM</u>. We will use Theorem 4.2 to construct a linear endomorphism of ψ of $\mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_M}$ whose image is the span of the standard monomials and whose kernel is the ideal defining $\underline{A}^{\bullet}(M)$. This gives an (abelian group) direct sum decomposition of the polynomial ring, which implies that the standard monomials form a basis for $\underline{A}^{\bullet}(M)$.

Let $C \subseteq \mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_M}$ be the linear span of $\{h_{F_1}^{a_1} \cdots h_{F_\ell}^{a_\ell} : \emptyset < F_1 < \cdots < F_\ell\}$. Theorem 4.2 gives a surjective linear map $\psi_1 : \mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_M} \to C$: we consider the image of an element of $\mathbb{Z}[h_F]_{F \in \overline{\mathcal{L}}_M}$ inside of $B^{\bullet}(\overline{\mathcal{L}}_M^{\mathrm{op}})$ and then express it in terms of the standard monomial basis there.

The proofs of Proposition 2.1 and Proposition 2.8 give a map ψ_2 from C to the linear span of the standard monomials: the proof of Proposition 2.1 shows that each monomial corresponding to a chain of flats is either 0 in $\underline{A}^{\bullet}(\mathbf{M})$ or is equal to a particular standard monomial (which is independent of the choices involved). We define ψ to be $\psi_2 \circ \psi_1$. The well-definedness of ψ implies that the procedure described in the proof of Proposition 2.1 and Proposition 2.8 which rewrites any monomial in terms of the standard monomials for $\underline{A}^{\bullet}(\mathbf{M})$ is well-defined, i.e., independent of the choices involved.

It is clear that ψ surjects onto the span of the standard monomials; we need to show that the ideal defining $\underline{A}^{\bullet}(\mathbf{M})$ is in the kernel of ψ . By construction, the kernel of ψ is contained in the ideal defining $\underline{A}^{\bullet}(\mathbf{M})$. As ψ is linear, it suffices to prove that ψ kills the product of any monomial m with a generator of the ideal defining $A^{\bullet}(\mathbf{M})$.

By construction, $\psi_1(m \cdot (h_F - h_{F \vee G})(h_G - h_{F \vee G})) = 0$ for any incomparable flats F, G, so ψ kills $m \cdot (h_F - h_{F \vee G})(h_G - h_{F \vee G})$ as well.

We need to check that, for any atom a and monomial m, we have $\psi(m \cdot h_a) = 0$. We apply the procedure used to compute ψ_1 (as described in Lemma 2.2) to $m \cdot h_a$, i.e., we find a pair of flats $\{F, G\}$, where h_F and h_G appear in $m \cdot h_a$, which are incomparable and which are maximal with these properties. We then use the relation $h_F h_G = h_F h_{F \vee G} + h_G h_{F \vee G} - h_{F \vee G}^2$. If $a \notin \{F, G\}$, then all resulting terms are divisible by h_a . Note that applying ψ_2 kills any term where h_a appear.

It therefore suffices to understand the case when there is a flat F such that h_F appears in $m \cdot h_a$, F is incomparable with a, and for all G with h_G appearing $m \cdot h_a$, either G = a, $G \leq F$, or $G \geq F \vee a$. Define m' by $m = m' \cdot h_F$ We use the relation

$$m \cdot h_a h_F = m \cdot h_a h_{F \lor a} + m \cdot h_F h_{F \lor a} - m \cdot h_F^2$$

The terms appearing after further straightening of $m \cdot h_F h_{F \vee a}$ will be the same as those in $m \cdot h_{F \vee a}^2$, except with $h_F h_{F \vee a}$ replaced by $h_{F \vee a}^2$. But these terms will cancel when we apply ψ_2 .

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