

RIGIDITY MATROIDS AND LINEAR ALGEBRAIC MATROIDS WITH APPLICATIONS TO MATRIX COMPLETION AND TENSOR CODES

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ABSTRACT. We establish a connection between problems studied in rigidity theory and matroids arising from linear algebraic constructions like tensor products and symmetric products. A special case of this correspondence identifies the problem of giving a description of the correctable erasure patterns in a maximally recoverable tensor code with the problem of describing bipartite rigid graphs or low-rank completable matrix patterns. Additionally, we relate dependencies among symmetric products of generic vectors to graph rigidity and symmetric matrix completion. With an eye toward applications to computer science, we study the dependency of these matroids on the characteristic by giving new combinatorial descriptions in several cases, including the first description of the correctable patterns in an $(m, n, a = 2, b = 2)$ maximally recoverable tensor code.

1. INTRODUCTION

Given a graph G , the graph rigidity problem in \mathbb{R}^d asks whether a generic embedding of the vertices of G into \mathbb{R}^d is *rigid*, i.e., whether every motion of G which preserves the lengths of edges comes from a rigid motion of \mathbb{R}^d . This problem has been studied since the time of Maxwell [Max64]. When $d = 2$, the Pollaczek-Geiringer-Laman theorem [PG27, Lam70] gives a simple characterization of rigid graphs. There is no known generalization to embeddings of graphs into \mathbb{R}^d for any $d \geq 3$.

The rigid graphs on n vertices form the spanning sets of a *matroid* on the ground set $\binom{[n]}{2}$, the set of edges of the complete graph with vertex set $[n] = \{1, \dots, n\}$. The language of matroids gives a convenient framework and powerful tools for analyzing rigidity [CJT22a, CJT22b, Gra91, Whi96]. We refer to [Oxl11] for undefined matroid terminology.

Several other matroids related to rigidity have been introduced, such as Kalai's *hyperconnectivity matroid* [Kal85] $\mathcal{H}_n(d)$, for $0 \leq d \leq n$. This is a matroid whose ground set is $\binom{[n]}{2}$ which has similar formal properties to the usual graph rigidity matroid. Kalai used the hyperconnectivity matroid to show the existence of highly connected subgraphs in graphs with a large number of edges. Hyperconnectivity was used to study polytopal realizations of certain simplicial spheres called *higher associahedra* [CRS24, CRS25, CR23].

More recently introduced is the *bipartite rigidity matroid* $\mathcal{B}_{m,n}(a, b)$ of Kalai, Nevo, and Novak [KNN16]. This is a matroid on $[m] \times [n]$ which gives a version of rigidity for an embedding of a bipartite graph, where the parts have size m and n , into $\mathbb{R}^a \oplus \mathbb{R}^b$ in such a way that it respects the direct sum structure. When $a = b$, the restriction of the hyperconnectivity matroid to the set of bipartite graphs coincides with the bipartite rigidity matroid. See Section 2.1 for precise definitions of these matroids.

The rigidity matroids mentioned above are closely related to *low-rank matrix completion matroids*. Given d and n , the *symmetric matrix completion matroid* $\mathcal{S}_n(d)$ is a matroid on ground set $\binom{[n]}{2} \sqcup [n]$. A subset S is independent if a matrix where the entries corresponding to S have been filled in with generic complex numbers can be completed to a symmetric matrix of rank at most d . This is an algebraic matroid realized by the variety of $n \times n$ symmetric matrices of rank at most d . By [GS18, Theorem 2.4], the matroid describing graph rigidity in \mathbb{R}^{d-1} is obtained by contracting the elements corresponding to the diagonal in $\mathcal{S}_n(d)$. In particular, a description of $\mathcal{S}_n(d)$ gives a description of graph rigidity in \mathbb{R}^{d-1} . The symmetric matrix completion matroid has been studied in connection with maximum likelihood problems in algebraic statistics [BS19, BBL21]. When $d = 2$, it was studied from the perspective of tropical geometry in [CLY25].

Similarly, there is a *matrix completion matroid* which describes when an $m \times n$ matrix which has been partially filled in with generic complex numbers can be completed to a matrix of rank at most d . The matrix completion matroid was studied in [Ber17, Tsa24]. This matroid is equal to the bipartite rigidity matroid $\mathcal{B}_{m,n}(d, d)$ [SC09, Section 4]. As skew-symmetric matrices have even rank, one can only consider rank d skew-symmetric matrix completion when d is even. In this case, the analogously defined *skew-symmetric matrix completion matroid* coincides with $\mathcal{H}_n(d)$ [CRS23, Proposition 3.1].

We will establish a connection between the above rigidity matroids and matroids arising from natural linear algebraic constructions. Suppose that we have n generic vectors v_1, \dots, v_n in an r -dimensional vector space V over an infinite field of characteristic $p \geq 0$. We assume $n \geq r$, so the vectors span V . Then we obtain $\binom{n+1}{2}$ vectors $v_1^2, v_1v_2, \dots, v_{n-1}v_n, v_n^2$ in $\text{Sym}^2 V$. These vectors represent a matroid $\mathcal{S}_n(r, p)$ on the ground set $\binom{[n]}{2} \sqcup [n]$. This matroid depends only on the characteristic of the field, and in particular does not depend on the choice of vectors (provided they are sufficiently generic). We call this the *symmetric power matroid*. See Section 2.2 for a more detailed definition.

Similarly, we obtain $\binom{n}{2}$ vectors $v_1 \wedge v_2, \dots, v_{n-1} \wedge v_n$ in $\wedge^2 V$. These vectors represent a matroid $\mathcal{W}_n(r, p)$, which we call the *wedge power matroid*. If we also have m generic vectors u_1, \dots, u_m in an s -dimensional vector space U over the same field, for some $m \geq s$, then we obtain mn vectors $u_1 \otimes v_1, \dots, u_m \otimes v_n$ in $U \otimes V$. These vectors represent a matroid $\mathcal{T}_{m,n}(s, r, p)$, which we call the *tensor matroid*. As with the symmetric power matroids, these matroids depend only on the characteristic of the field.

Similar constructions for arbitrary matroids have been studied classically in the matroid literature [Lov77, Mas81, LV81]. The case of symmetric powers has attracted particular attention recently in connection with applications to tropical geometry [DR21, And24].

	Bipartite	Symmetric	Skew-symmetric
Rigidity matroids	Bipartite rigidity matroid $\mathcal{B}_{m,n}(a, b)$	Graph rigidity matroid $\mathcal{S}_n(d+1)/\{\text{diags}\}$	Hyperconnectivity matroid $\mathcal{H}_n(d)$
Rank completion matroids	Matrix completion matroid $\mathcal{B}_{m,n}(d, d)$	Sym. matrix completion $\mathcal{S}_n(d)$	Skew-sym. matrix completion $\mathcal{H}_n(d)$, d is even
Linear algebraic matroids	Tensor matroid $\mathcal{T}_{m,n}(s, r, p)$	Sym. power matroid $\mathcal{S}_n(r, p)$	Wedge power matroid $\mathcal{W}_n(r, p)$

TABLE 1. A table of various matroids that have been mentioned. The matroids in the same column are related by Theorem 1.1.

Connections to Information Theory. The problem of understanding $\mathcal{T}_{m,n}(s, r, p)$ has been studied extensively in information theory in connection with *tensor codes* (c.f., e.g., [MLR⁺14] for a practical implementation). Consider a collection of servers arranged in an $m \times n$ grid. We view the data stored on each server as an element of some (large) finite field \mathbf{k} of characteristic p . To ensure redundancy in this cluster, we constrain that each column lies in some fixed subspace of \mathbf{k}^m of dimension s and each row lies in some fixed subspace of \mathbf{k}^n of dimension r .¹ The goal of this redundancy is that if a (small) subset of the servers fail (also called *erasures*), we can completely recover the data using these various subspace constraints. The matroid of failures which do not lead to data loss is sensitive to the choice of subspaces, but if everything is chosen generically, the “recoverability matroid” is the matroid dual of $\mathcal{T}_{m,n}(s, r, p)$.

¹More commonly, information theorists care about the codimension of these spaces, often denoted by $a = m - s$ and $b = n - r$, respectively, as that is a measure of the redundancy of the encoding.

Gopalan et al. [GHK⁺17] coined the term (m, n, a, b) -maximally recoverable tensor codes for realizations of $T_{m,n}(m-a, n-b, p)$ as tensor products of vector spaces over finite fields. Gopalan et al. gave an exponential-sized description of the spanning sets of $T_{m,n}(s, r, p)$ when $m-s=1$ and conjectured a description in general. This conjecture was partially confirmed [SRLS18] but was later refuted in general [HPYWZ21]. Overall, the focus of the information theory community has been on constructing maximally recoverable tensor codes over small fields (e.g., [KMG21, HPYWZ21, Rot22, SL22, BDG23, ACKL23]), although exponential-sized lower bounds are now known [BDG23, AGL24a]. For applications, it is important to understand the matroid realized by the tensor products of vectors which are not completely generic. For example, [BDG24, Section 1.3] asks if the tensor products of generic vectors on the moment curve give a maximally recoverable tensor code.

Within information theory, the study of *higher order maximum-distance separable (MDS) codes* [BGM22, Rot22, BGM23] has shown that, in the $m-s=1$ case, essentially the same matroid arises in many other problems. Such scenarios include designing optimally list-decodable codes [ST23] and codes realizing particular zero patterns [DSY14, YH19, Lov21, YH19, LWWZS23, BDG24]. Further, these equivalences imply that a construction of any one of these types of codes can be converted into the other types [BGM23], and they have led to many new constructions and analyses of near-optimal codes [GZ23, AGL24b, AGL24a, BDGZ25, RZVW24]. Very recently, these connections also led to a novel proof of the Pollaczek-Geiringer-Laman theorem [BELL25].

Our first main result is a correspondence between the above linear algebraic matroids and rigidity matroids. Recall that the dual of a matroid M is the matroid whose bases are the complements of the bases of M .

Theorem 1.1. *We have the following matroid dualities:*

- (1) *The symmetric power matroid $S_n(n-d, 0)$ is dual to the symmetric matrix completion matroid $S_n(d)$.*
- (2) *The wedge power matroid $W_n(n-d, 0)$ is dual to the hyperconnectivity matroid $\mathcal{H}_n(d)$.*
- (3) *The tensor matroid $T_{m,n}(m-a, n-b, 0)$ is dual to the bipartite rigidity matroid $\mathcal{B}_{m,n}(a, b)$.*

As a corollary, the correctable erasure patterns in a $m \times n$ maximally recoverable tensor code with a column and b row parity checks over a field of sufficiently large characteristic are precisely the independent sets in the bipartite rigidity matroid $\mathcal{B}_{m,n}(a, b)$.

Motivated by applications to information theory, we use Theorem 1.1(3) to study bipartite rigidity. This strategy is well-suited to understanding the case when $m-a$ is small. We give exact characterizations of the independent sets in $T_{m,n}(s, r, p)$ for all p when $s \leq 3$, see Section 3. When $m-a \leq 3$, this gives a characterization of the spanning sets in $\mathcal{B}_{m,n}(a, b)$. In particular, we are able to prove the following theorem.

Theorem 1.2. *If $m-a \leq 3$, then there is a deterministic algorithm to compute the rank function of $\mathcal{B}_{m,n}(a, b)$ which runs in time polynomial in $m+n$.*

Note that there are a few polynomial time algorithms to compute the rank function of $\mathcal{B}_{m,n}(a, b)$ when $a=1$, including ones based on the maximum flow problem [BGM22], total dual integral programs [BGM23], and invariant theory [BGM23]. See also [Whi89].

The rank of $\mathcal{B}_{m,n}(a, b)$ is $an+bm-ab$. In particular, any graph with more than $an+bm-ab$ edges must be dependent in $\mathcal{B}_{m,n}(a, b)$. Furthermore, for each subset $S \subseteq [m]$ and $T \subseteq [n]$, if we restrict $\mathcal{B}_{m,n}(a, b)$ to the edges $S \times T$, then we obtain $\mathcal{B}_{|S|, |T|}(a, b)$. See [KNN16, Lemma 3.7]. In particular, if G is independent in $\mathcal{B}_{m,n}(a, b)$ and $|S| \geq a$, $|T| \geq b$, then G must have at most $|T|a + |S|b - ab$ edges in $S \times T$. This observation gives rise to the following family of circuits, i.e., minimal dependent sets.

Definition 1.3. A circuit C of $\mathcal{B}_{m,n}(a, b)$ is a *Laman circuit* if the edges of C are contained in $S \times T \subseteq [m] \times [n]$, and C has more than $|T|a + |S|b - ab$ edges.

The name ‘‘Laman circuits’’ comes from the Pollaczek–Geiringer–Laman theorem [PG27, Lam70], which shows that all circuits of the graph rigidity matroid in \mathbb{R}^2 are Laman circuits. Laman circuits are called ‘‘regularity’’ conditions in the information theory literature [GHK⁺17]. These authors showed that the Laman circuits completely describe $T_{m,n}(m-a, n-b, p)$ when $a = 1$. For all three rigidity problems mentioned above, there are typically circuits which are not Laman circuits. Theorem 1.2 allows us to characterize exactly when all circuits of $\mathcal{B}_{m,n}(a, b)$ are Laman circuits.

Example 1.4. [KNN16, Example 5.5][HPYWZ21, Lemma 4] The subset of $[5] \times [5]$ depicted as blue \star in Figure 1 is a circuit of $\mathcal{B}_{5,5}(2, 2)$ which is not a Laman circuit. This is most easily seen from the perspective of low-rank matrix completion. If we fill in the entries corresponding to the elements of the circuit with generic complex numbers, then there will be two conflicting conditions on the $(3, 3)$ entry. The complement of this circuit is dependent in $T_{5,5}(3, 3, p)$ for any p , as two 2×2 -dimensional tensors in a 3×3 -dimensional space necessarily intersect.

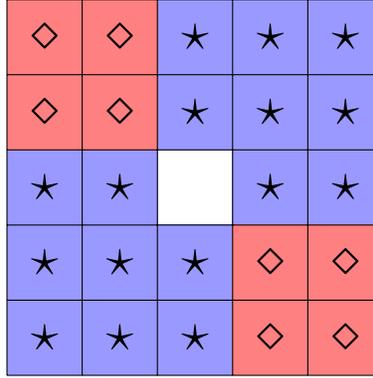


FIGURE 1. A circuit of $\mathcal{B}_{5,5}(2, 2)$ which is not a Laman circuit. The squares of the 5×5 grid represent the ground set of the matroid, with the blue \star squares representing the circuit elements. The red \diamond squares form the corresponding circuit in $T_{5,5}(3, 3, p)$ for any p .

Corollary 1.5. *All circuits in $\mathcal{B}_{m,n}(a, b)$ are Laman circuits if and only if at least one of the following holds: (1) $a \leq 1$, (2) $b \leq 1$, (3) $m - a \leq 2$, or (4) $n - b \leq 2$.*

The case when $a \in \{0, m\}$ or $b \in \{0, n\}$ is trivial, and the case when $a = 1$ or $b = 1$ was proven in [Whi89] and independently in [GHK⁺17]. Note that we are able to describe $\mathcal{B}_{m,n}(a, b)$ when $m - a = 3$ even though the Laman condition usually fails. To do this, we find an additional family of combinatorial inequalities which rule out circuits such as the one in Example 1.4.

Applications to information theory make use of $T_{m,n}(s, r, p)$ when $p > 0$. While the bases of $T_{m,n}(s, r, p)$ are bases of $T_{m,n}(s, r, 0)$ (Proposition 4.1), it is not obvious that these matroids are equal. Indeed, [And24, Example 2.18] shows that $S_4(2, 2) \neq S_4(2, 0)$, so the symmetric power matroid depends on the characteristic. Some of the proofs of the Pollaczek–Geiringer–Laman theorem, such as the ones in [LY82, BELL25], show that the rank of the matrices considered in 2-dimensional graph rigidity do not depend on the characteristic. We show that the tensor matroid does not depend on the characteristic in several cases.

Theorem 1.6. *If $s \leq 3$, $m - s \leq 1$, or $m - s = n - r = 2$, then $T_{m,n}(s, r, p) = T_{m,n}(s, r, 0)$.*

One of the deepest results on bipartite rigidity is the following theorem of Bernstein. The proof crucially uses the interpretation of bipartite rigidity in terms of low-rank matrix completion to reformulate the problem in terms of tropical geometry. Bernstein then uses several ingenious ideas to obtain the following combinatorial characterization of the independent sets of the $(2, 2)$ bipartite rigidity matroid.

Theorem 1.7. [Ber17] *A bipartite graph is independent in $\mathcal{B}_{m,n}(2, 2)$ if and only if it has an edge orientation with no directed cycles or alternating cycles.*

That is, G is independent in $\mathcal{B}_{m,n}(2, 2)$ if and only if G has an acyclic orientation such that no cycle of G is oriented so that the edges alternate in orientation. As part of our proof of Theorem 1.6, we give an elementary proof (Proposition 4.2) of the sufficiency part of Theorem 1.7, i.e., if a bipartite graph G has an edge orientation with no directed cycles or alternating cycles, then it is independent in $\mathcal{B}_{m,n}(2, 2)$. Unlike Bernstein’s original proof, our argument establishes the stronger statement that G is independent in the dual of $\mathbb{T}_{m,n}(m - 2, n - 2, p)$ for any p . Together with Proposition 4.1, this proves the independence of the characteristic in this case.

As a consequence, we have a combinatorial description of correctable patterns in an $(m, n, a = 2, b = 2)$ maximally recoverable tensor code.

Proposition 1.8. *Let $C \subseteq \mathbf{k}^{m \times n}$ be an $(m, n, a = 2, b = 2)$ maximally recoverable tensor code. An erasure pattern $E \subseteq C$ is correctable if and only if E , when viewed as a bipartite graph, has an edge orientation with no directed cycles or alternating cycles.*

Despite the combinatorial nature of the description in Theorem 1.7, we do not know a polynomial time algorithm to check independence in $\mathcal{B}_{m,n}(2, 2)$. We do not even know a coNP certificate, i.e., a certificate that a graph is *not* independent in $\mathcal{B}_{m,n}(2, 2)$, that can be checked in polynomial time. A candidate coNP certificate for independence in $\mathcal{B}_{m,n}(a, b)$ is given in [JT24, Conjecture 6.4].

Finally, we give a conjectural description of the bases of $\mathcal{B}_{m,n}(d, d)$ for all d , generalizing Theorem 1.7 (Conjecture 5.4). Using a “coning” operation (Proposition 3.11), this gives a description of $\mathcal{B}_{m,n}(a, b)$ for all a, b . We show that our conjecture implies that $\mathbb{T}_{m,n}(s, r, p)$ is independent of p .

Cases	Description of $\mathbb{T}_{m,n}(s, r, p)$
$s = 1$ or $r = 1$	Corollary 3.2
$s = 2$ or $r = 2$	Proposition 3.3
$s = 3$ or $r = 3$	Proposition 3.4
$m - s = 1$ or $n - r = 1$	[Whi89, Theorem 4.2] [GHK ⁺ 17, Theorem 3.2]
$m - s = n - r = 2$	[Ber17, Theorem 4.4] for $p = 0$ Proposition 4.2 for $p > 0$

TABLE 2. Currently known cases of the structure of the matroid $\mathbb{T}_{m,n}(s, r, p)$.

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2. RIGIDITY MATROIDS AND THEIR DUALS

In this section, we recall the definitions of the rigidity matroids. We then prove Theorem 1.1.

2.1. Rigidity matroids.

2.1.1. *Symmetric matrix completion.* For $0 \leq d \leq n$, the *symmetric matrix completion matroid* $\mathcal{S}_n(d)$ is the algebraic matroid realized by the $\binom{n}{2} + n$ coordinate functions on the variety of $n \times n$ symmetric matrices of rank at most d . More precisely, let K be the field of rational functions on the variety of $n \times n$ symmetric matrices of rank at most d over \mathbb{C} . For each $i \leq j$, we have a coordinate function $x_{ij} \in K$. A subset $S \subseteq \binom{[n]}{2} \sqcup [n]$ is independent in $\mathcal{S}_n(d)$ if and only if the corresponding set of coordinate functions is algebraically independent. The dimension of the variety of $n \times n$ symmetric matrices of rank at most d is $nd - \binom{d}{2}$, so the rank of $\mathcal{S}_n(d)$ is $nd - \binom{d}{2}$.

The restriction of $\mathcal{S}_n(d)$ to $\binom{[n]}{2}$ is the matroid denoted \mathcal{I}_n^d in [JT24, Section 6.3], which was introduced in [Kal85, Section 8]. If $n = m + p$, then the restriction of $\mathcal{S}_n(d)$ to $[m] \times [p] \subseteq \binom{[n]}{2}$ is $\mathcal{B}_{m,p}(d, d)$. This is most easily seen using the description of $\mathcal{B}_{m,p}(d, d)$ as a *matrix completion matroid*: the projection of the variety of $n \times n$ symmetric matrices of rank at most d onto the northeast $m \times p$ corner is the variety of $m \times p$ matrices of rank at most d .

We use a description of $\mathcal{S}_n(d)$ which was derived in [KRT13, Section 3.2]. See Section 2.4 for a discussion of an analogous calculation.

Proposition 2.1. *Consider the $nd \times (\binom{n}{2} + n)$ matrix J_{Sym} over the field $\mathbb{C}(x_{ij})_{1 \leq i \leq n, 1 \leq j \leq d}$ whose rows are labeled by pairs (i, j) with $1 \leq i \leq n, 1 \leq j \leq d$, and whose columns are labeled by either $\{k, \ell\} \in \binom{[n]}{2}$ or $k \in [n]$. In each row labeled by (i, j) , we have an entry of $2x_{ij}$ in the column labeled by $i \in [n]$, and we have an entry of x_{kj} in the column labeled by $\{i, k\} \in \binom{[n]}{2}$. The other entries are 0. A subset $S \subseteq \binom{[n]}{2} \sqcup [n]$ is independent in $\mathcal{S}_n(d)$ if and only if the columns labeled by S in J_{Sym} are linearly independent.*

In other words, $\mathcal{S}_n(d)$ is the column matroid of J_{Sym} .

2.1.2. *Hyperconnectivity.* For $0 \leq d \leq n$, the hyperconnectivity matroid $\mathcal{H}_n(d)$ is a matroid on $\binom{[n]}{2}$ of rank $dn - \binom{d+1}{2}$. It was defined in [Kal85] in terms of *algebraic shifting*. It can equivalently be defined as a column matroid of an explicit matrix, see Definition 2.2 below. If $n = m + p$, then the restriction of $\mathcal{H}_n(d)$ to $[m] \times [p] \subseteq \binom{[n]}{2}$ is $\mathcal{B}_{m,p}(d, d)$.

Definition 2.2. Consider the $nd \times \binom{n}{2}$ matrix over the field $\mathbb{C}(x_{ij})_{1 \leq i \leq n, 1 \leq j \leq d}$ whose rows are labeled by pairs (i, j) with $1 \leq i \leq n, 1 \leq j \leq d$, and whose columns are labeled by $\{k, \ell\} \in \binom{[n]}{2}$. The row corresponding to (i, j) has x_{ki} in the column labeled by $\{k, j\}$ if $k < j$, $-x_{ki}$ in the column labeled by $\{k, j\}$ if $k > j$, and is 0 otherwise. The *hyperconnectivity matroid* $\mathcal{H}_n(d)$ is the column matroid of this matrix.

2.1.3. *Bipartite rigidity.* For $0 \leq a \leq m$ and $0 \leq b \leq n$, the bipartite rigidity matroid $\mathcal{B}_{m,n}(a, b)$ is a matroid on $[m] \times [n]$ of rank $an + bm - ab$. It was introduced in [KNN16]. See [KNN16, Section 1.2] for a physical interpretation of bipartite rigidity in terms of embeddings of bipartite graphs on $[m] \sqcup [n]$ into $\mathbb{R}^a \oplus \mathbb{R}^b$, where $[m]$ is embedded into $\mathbb{R}^a \oplus 0$ and $[n]$ is embedded into $0 \oplus \mathbb{R}^b$. It can equivalently be defined as the column matroid of an explicit matrix [KNN16, Proposition 3.3].

Definition 2.3. Consider the $(an + bm) \times mn$ matrix over the field $\mathbb{C}(x_{ij}, y_{k\ell})$, where $(i, j) \in [m] \times [a]$ and $(k, \ell) \in [n] \times [b]$, whose rows are labeled by elements of $[a] \times [n]$ or $[b] \times [m]$, and whose columns are labeled by elements of $[m] \times [n]$. In the row labeled by $(j, k) \in [a] \times [n]$, we have x_{pj} in the column labeled by (p, k) for $p \in [m]$ and 0 in every other column. In the row labeled by $(\ell, i) \in [b] \times [m]$, we have $y_{q\ell}$ in the column labeled by (i, q) for $q \in [n]$ and 0 in every other column. The *bipartite rigidity matroid* $\mathcal{B}_{m,n}(a, b)$ is the column matroid of this matrix.

2.2. Linear algebraic matroids. We now give a more detailed definition of the symmetric power matroid $S_n(r, p)$. The tensor matroid and wedge power matroid are defined similarly. Let K be a field of characteristic $p \geq 0$. We say that n vectors v_1, \dots, v_n in K^r are *totally generic* if their coordinates are algebraically independent. Then the vectors $v_1^2, v_1v_2, \dots, v_{n-1}v_n, v_n^2$ are contained in $\text{Sym}^2 K^r$, and so they define a matroid, which we denote by $S_n(r, p)$. Given any vectors v_1, \dots, v_n in an r -dimensional vector space V over a field of characteristic $p \geq 0$, we say that they are *generic* if the matroid represented by the vectors $v_1^2, v_1v_2, \dots, v_n^2$ in $\text{Sym}^2 V$ is $S_n(r, p)$. If V is a vector space over an infinite field or over any sufficiently large finite field, then generic vectors exist. This follows from the fact that a nonzero polynomial $f \in K[x_1, \dots, x_n]$ does not vanish for every value of x_1, \dots, x_n over an infinite field or a sufficiently large finite field, applied to the product of the maximal minors witnessing that each basis of $S_n(r, p)$ is in fact a basis. See [Lan02, Corollary IV.1.6] for the case of infinite fields; the case of sufficiently large finite fields follows from the Schwartz–Zippel lemma [Sch80, Zip79].

2.3. Dualities. In this section, we prove Theorem 1.1. For this, it is convenient to pass to the dual picture. Suppose we have a collection of n vectors in a d -dimensional vector space L . If we choose a basis for L , then we obtain a $d \times n$ matrix A . A collection of vectors is independent in the matroid represented by the vector configuration if and only if the corresponding columns of A are linearly independent. Whether a given set of columns is linearly independent depends only on the row span of A . In particular, we can replace A by any matrix with the same row span (even if its rows are linearly dependent).

Using this formulation, we can describe $S_n(r, p)$ as follows. Choose an infinite field K of characteristic $p \geq 0$, and choose a generic linear subspace $L \subseteq K^n$ of dimension r . We then obtain a subspace $\text{Sym}^2 L \subseteq \text{Sym}^2 K^n$. We have a canonical basis for $\text{Sym}^2 K^n$, given by the vectors $e_1^2, e_1e_2, \dots, e_n^2$, where e_1, \dots, e_n is the standard basis of K^n . To compute the independent sets of $S_n(r, p)$, we choose m vectors in $\text{Sym}^2 K^n$ whose span is $\text{Sym}^2 L$ for some $m \geq \dim \text{Sym}^2 L$, form the corresponding $m \times \binom{n+1}{2}$ matrix, and check which columns are linearly independent. To compute the dual of $S_n(r, p)$, we choose vectors which span $(\text{Sym}^2 L)^\perp \subseteq \text{Sym}^2 K^n$, where the orthogonal complement is taken with respect to the usual inner product on a vector space with a basis, i.e., the dot product. We can calculate $W_n(r, p)$ and $T_{m,n}(s, r, p)$ in a similar way.

Proof of Theorem 1.1(1). As $S_n(n-d, 0)$ is independent of the choice of infinite field of characteristic 0, we may work over $K = \mathbb{C}(x_{ij})_{1 \leq i \leq n, 1 \leq j \leq d}$ and choose our generic linear subspace $L \subseteq K^n$ to be the orthogonal complement of the span of the vectors $(x_{11}, \dots, x_{n1}), \dots, (x_{1d}, \dots, x_{nd})$. In order to compute the dual of $S_n(n-d, 0)$, we find vectors which span $(\text{Sym}^2 L)^\perp$. There is a surjective map $L^\perp \otimes K^n \rightarrow (\text{Sym}^2 L)^\perp \subseteq \text{Sym}^2 K^n$ which sends $v \otimes w$ to vw . There is a basis for $L^\perp \otimes K^n$ given by vectors of the form $(x_{1j}, \dots, x_{nj}) \otimes e_i$ for $1 \leq i \leq n$ and $1 \leq j \leq d$. We form the matrix A whose rows are given by the images of these vectors in $\text{Sym}^2 K^n$, written in the basis $e_1^2, e_1e_2, \dots, e_n^2$. The dual of $S_n(n-d, 0)$ records which columns of A are linearly independent. We note that we obtain the matrix J_{Sym} of Proposition 2.1 from A after multiplying the columns labeled by $i \in [n]$ by 2, proving the equivalence. \square

Example 2.4. We now illustrate the proof of Theorem 1.1(1) in the case $n = 4$ and $d = 2$. The matroid $\mathcal{S}_4(2)$ is the column matroid of the matrix

$$\begin{pmatrix} x_{21} & x_{31} & x_{41} & 0 & 0 & 0 & 2x_{11} & 0 & 0 & 0 \\ x_{11} & 0 & 0 & x_{31} & x_{41} & 0 & 0 & 2x_{21} & 0 & 0 \\ 0 & x_{11} & 0 & x_{21} & 0 & x_{41} & 0 & 0 & 2x_{31} & 0 \\ 0 & 0 & x_{11} & 0 & x_{21} & x_{31} & 0 & 0 & 0 & 2x_{41} \\ x_{22} & x_{32} & x_{42} & 0 & 0 & 0 & 2x_{12} & 0 & 0 & 0 \\ x_{12} & 0 & 0 & x_{32} & x_{42} & 0 & 0 & 2x_{22} & 0 & 0 \\ 0 & x_{12} & 0 & x_{22} & 0 & x_{42} & 0 & 0 & 2x_{32} & 0 \\ 0 & 0 & x_{12} & 0 & x_{22} & x_{32} & 0 & 0 & 0 & 2x_{42} \end{pmatrix}.$$

Here, in order, the rows are labeled by $(1, 1), (2, 1), \dots, (4, 1), (1, 2), \dots, (4, 2)$, and the columns are labeled by $(1, 2), (1, 3), (1, 4), (2, 3), (2, 4), (3, 4), 1, 2, 3, 4$. If L is the subspace of K^4 which is the orthogonal complement of the span of the vectors $(x_{11}, x_{21}, x_{31}, x_{41})$ and $(x_{12}, x_{22}, x_{32}, x_{42})$, then the subspace $(\text{Sym}^2 L)^\perp$ of $\text{Sym}^2 K^4$ is spanned by the 8 vectors $(x_{11}, x_{21}, x_{31}, x_{41}) \cdot e_1, (x_{11}, x_{21}, x_{31}, x_{41}) \cdot e_2, \dots, (x_{12}, x_{22}, x_{32}, x_{42}) \cdot e_4$. Expressing these vectors in terms of the basis $e_1 e_2, e_1 e_3, e_1 e_4, e_2 e_3, e_2 e_4, e_3 e_4, e_1^2, e_2^2, e_3^2, e_4^2$ for $\text{Sym}^2 K^4$ gives the rows of the matrix above, up to multiplying some columns by 2.

Proof of Theorem 1.1(2). We work over $K = \mathbb{C}(x_{ij})_{1 \leq i \leq n, 1 \leq j \leq d}$, and choose our generic linear subspace $L \subseteq K^n$ to be the orthogonal complement of the span of the vectors $(x_{11}, \dots, x_{n1}), \dots, (x_{1d}, \dots, x_{nd})$. There is a surjective map $L^\perp \otimes K^n \rightarrow (\wedge^2 L)^\perp \subseteq \wedge^2 K^n$. Using the basis $\{(x_{1j}, \dots, x_{nj}) \otimes e_i\}_{1 \leq i \leq n, 1 \leq j \leq d}$ for $L^\perp \otimes K^n$ and the basis $\{e_i \wedge e_j : i < j\}$ for $\wedge^2 K^n$, we see that $(\wedge^2 L)^\perp$ is the row span of the matrix appearing in Definition 2.2. \square

Proof of Theorem 1.1(3). We work over $K = \mathbb{C}(x_{ij}, y_{k\ell})$, where $(i, j) \in [m] \times [a]$ and $(k, \ell) \in [n] \times [b]$. Set $L_1 \subseteq K^m$ to be the orthogonal complement to the span of the vectors $(x_{11}, \dots, x_{m1}), \dots, (x_{1a}, \dots, x_{ma})$, and set $L_2 \subseteq K^n$ to be the orthogonal complement to the span of the vectors $(y_{11}, \dots, y_{n1}), \dots, (y_{1b}, \dots, y_{nb})$. There is a surjective map $(L_1^\perp \otimes K^n) \oplus (K^m \otimes L_2^\perp) \rightarrow (L_1 \otimes L_2)^\perp \subseteq K^m \otimes K^n$. This implies that $(L_1 \otimes L_2)^\perp$ is the row span of the matrix appearing in Definition 2.3. \square

Example 2.5. Using Theorem 1.1, a special case of [Kal02, Problem 3] which is given as a conjecture in [CRS23, Conjecture 4.3] becomes the following. Let G be a graph on n vertices, and suppose that $E(G)$ is independent in $W_n(r, 0)$. Then $E(G)$ is independent in $S_n(r - 1, 0)$. We checked this for $r \leq 6$.

2.4. Matrix completion in positive characteristic. As mentioned in the introduction, the algebraic matroid of the variety of $n \times n$ skew-symmetric matrices of rank at most $2d$ over \mathbb{C} is the hyperconnectivity matroid $\mathcal{H}_n(2d)$ [CRS23, Proposition 3.1], and the algebraic matroid of $m \times n$ matrices of rank at most d over \mathbb{C} is the bipartite rigidity matroid $\mathcal{B}_{m,n}(d, d)$ [SC09, Section 4]. We briefly comment on the relationship between the linear algebraic matroids in characteristic p and the low-rank matrix completion matroids in characteristic p . This section is not used in the rest of the paper and can be skipped by the uninterested reader. For the field theory facts used in this section, see [Mat86, Section 26], especially Theorem 26.6.

We first sketch how one computes the low-rank matrix completion matroid. Let Y_d be the subvariety of \mathbb{C}^{mn} given by $m \times n$ matrices of rank at most d , and let $K(Y_d)$ be the function field of Y_d . The matrix completion matroid encodes when the coordinate functions $z_{ij} \in K(Y_d)$ are algebraically independent. Because we are over a field of characteristic 0, some functions $\{z_{ij}\}$ are algebraically independent if and only if their differentials $dz_{ij} \in \Omega_{K(Y_d)/\mathbb{C}}$ are linearly independent in the module of differentials of $K(Y_d)$. In order to make the module of differentials $\Omega_{K(Y_d)/\mathbb{C}}$ explicit, we use that every matrix of rank at most d can be written as AB , where A is an $m \times d$ matrix and B is a $d \times n$ matrix. This means that there is a surjective map $\mathbb{C}^{md+dn} \rightarrow Y_d$, which sends a coordinate function z_{ij} to $\sum_{\ell=1}^d x_{i\ell} y_{\ell j}$. Because we are over a field of

characteristic 0, the pullback map $\Omega_{K(Y_d)/\mathbb{C}} \otimes_{K(Y_d)} \mathbb{C}(x_{ij}, y_{k\ell}) \rightarrow \Omega_{\mathbb{C}(x_{ij}, y_{k\ell})/\mathbb{C}}$ is injective. There is a basis for $\Omega_{\mathbb{C}(x_{ij}, y_{k\ell})/\mathbb{C}}$ given by $\{dx_{ij}, dy_{k\ell}\}$. Then dz_{ij} pulls back to $d(\sum_{\ell=1}^d x_{i\ell}y_{\ell j}) = \sum_{\ell=1}^d (x_{i\ell}dy_{\ell j} + y_{\ell j}dx_{i\ell})$. The matrix whose columns are given by the pullbacks of the dz_{ij} is exactly the matrix defining $\mathcal{B}_{m,n}(d, d)$. A similar argument can be used to compute the symmetric matrix completion matroid, using that an $n \times n$ symmetric matrix of rank at most d can be written as AA^t , where A is an $n \times d$ matrix, or the skew-symmetric matrix completion matroid, using that an $n \times n$ skew-symmetric matrix of rank at most $2d$ can be written as $AB^t - BA^t$ for A, B $n \times d$ matrices.

This argument breaks down in positive characteristic due to the presence of inseparable extensions. Given a finitely generated extension of fields K/L , we say that a_1, \dots, a_ℓ are *separably algebraically independent* if there are b_1, \dots, b_p such that $a_1, \dots, a_\ell, b_1, \dots, b_p$ is a *separating transcendence basis* for K/L , i.e., they are algebraically independent and $K/L(a_1, \dots, b_p)$ is a finite separable extension. We say that K/L is *separable* if it has a separating transcendence basis.

Over a field \mathbf{k} of positive characteristic, it is no longer true that we can test algebraic independence of a collection a_1, \dots, a_ℓ by checking the linear independence of da_1, \dots, da_ℓ . Rather, da_1, \dots, da_ℓ are linearly independent if and only if a_1, \dots, a_ℓ are separably algebraically independent. Furthermore, pullback maps on differentials are no longer automatically injective; they are injective if and only if the corresponding field extension is separable. This fails for the variety of symmetric matrices of rank at most d in characteristic 2: the map

$$\mathbf{k}^{nd} \rightarrow \{n \times n \text{ symmetric matrices of rank } \leq d\}, \quad A \mapsto AA^t$$

does not induce a separable extension of function fields. In all other cases, the analogous map does induce a separable extension of function fields. This can be proved by verifying that the matrix defining $\mathcal{S}_n(d)$ has rank $nd - \binom{d}{2}$ in characteristic $p \neq 2$, the matrix defining $\mathcal{H}_n(2d)$ has rank $2dn - \binom{2d+1}{2}$ in any characteristic, and the matrix defining $\mathcal{B}_{m,n}(d, d)$ has rank $d(m+n) - d^2$ in any characteristic. Then the proof of Theorem 1.1 gives the following result.

Theorem 2.6. *Let \mathbf{k} be a field of characteristic p .*

- (1) *If $p \neq 2$, then a collection of elements is independent in the dual of the symmetric power matroid $\mathcal{S}_n(n-d, p)$ if and only if the corresponding rational functions on the variety of $n \times n$ symmetric matrices of rank at most d over \mathbf{k} are separably algebraically independent.*
- (2) *A collection of elements is independent in the dual of the wedge power matroid $\mathcal{W}_n(n-2d, p)$ if and only if the corresponding rational functions on the variety of $n \times n$ skew-symmetric matrices² of rank at most $2d$ over \mathbf{k} are separably algebraically independent.*
- (3) *A collection of elements is independent in the dual of the tensor matroid $\mathcal{T}_{m,n}(m-d, n-d, p)$ if and only if the corresponding rational functions on the variety of $m \times n$ matrices of rank at most d over \mathbf{k} are separably algebraically independent.*

Remark 2.7. A collection of coordinate functions $\{x_{ij} : (i, j) \in S \subseteq [m] \times [n]\} \subseteq K(Y_d)$ is algebraically independent if and only if the coordinate projection $Y_d \hookrightarrow \mathbf{k}^{mn} \rightarrow \mathbf{k}^S$ is *dominant*, i.e., its image contains a Zariski open set. The collection is separably algebraically independent if and only if the coordinate projection is *generically smooth*.

Remark 2.8. One can sometimes show that the rank completion matroid in characteristic p , i.e., the algebraic matroid of the rank at most d locus, is independent of the characteristic by showing that the tropicalization of the rank at most d locus does not depend on the characteristic. For example, this was

²In characteristic 2, we say that a matrix is skew-symmetric if it is symmetric with zeroes on the diagonal. It remains true that, over an algebraically closed field, an $n \times n$ matrix can be written as $AB^t - BA^t$ with A, B $n \times d$ matrices if and only if it is skew-symmetric and has rank at most $2d$.

shown for $d = 2$ in [DSS05, Section 6]. We note that results of this form do *not* imply that the tensor matroid is independent of the characteristic.

Remark 2.9. We are unaware of any case in which the symmetric matrix completion matroid, the skew-symmetric matrix completion matroid, or the matrix completion matroid depends on the characteristic. In particular, the 4×4 symmetric rank 2 matrix completion matroid is the same in all characteristics, even though $S_4(2, 0) \neq S_4(2, 2)$.

3. BIPARTITE RIGIDITY WHEN $m - a$ IS SMALL

In this section, we give a complete description of the matroid $T_{m,n}(s, r, p)$ when $s \leq 3$. Our description is independent of the characteristic p . By Theorem 1.1(3), this gives a description of $\mathcal{B}_{m,n}(a, b)$ when $m - a \leq 3$. We use this description to prove Theorem 1.2 and Corollary 1.5.

3.1. The disjoint case and $s = 1$. Given an infinite field \mathbf{k} of characteristic p , consider m generic vectors u_1, \dots, u_m in \mathbf{k}^s and n generic vectors v_1, \dots, v_n in \mathbf{k}^r . By definition, the independent sets of the matroid $T_{m,n}(s, r, p)$ are given by sets $E \subseteq [m] \times [n]$ such that $\{u_i \otimes v_j : (i, j) \in E\}$ is linearly independent. We give a characteristic-independent description of the independent sets when $s \leq 3$.

We write $E = \bigcup_{i=1}^m \{i\} \times A_i$, $A_i \subseteq [n]$. We first show that when the A_i are disjoint a simple dimension/size criterion is equivalent to independence.

Lemma 3.1 (The disjoint case). *Let $A_1, \dots, A_m \subseteq [n]$ be disjoint sets. Let $E = \bigcup_{i=1}^m \{i\} \times A_i \subseteq [m] \times [n]$. Then E is independent in $T_{m,n}(s, r, p)$ if and only if $|A_i| \leq r$ for all i and $\sum_{i=1}^m |A_i| \leq sr$.*

Proof. The “only if” conditions follow from comparing dimensions. For the “if” direction, it suffices to give a choice of the u_i and v_j such that $\{u_i \otimes v_j : (i, j) \in E\}$ is a set of linearly independent vectors. Let e_1, \dots, e_r be a basis of \mathbf{k}^r . Set each $v_j \in A_1$ to be one of the basis vectors. Keep going, and set each $v_j \in A_2$ to be the “next” basis vector and so forth. Each v_j will be set to some basis vector, no two vectors in some A_i are set to the same basis vector, and each basis vector is used at most s times. For each $j \in [r]$, let C_j be the set of i for which e_j is used for some vector in A_i .

Pick the u_i to be generic vectors, so every s of them are linearly independent. Consider a linear dependence among $\{u_i \otimes v_j : (i, j) \in E\}$. That is, some $\lambda_{i,j} \in \mathbf{k}$ for which

$$\sum_{(i,j) \in E} \lambda_{i,j} u_i \otimes v_j = 0.$$

In particular, we have that

$$\sum_{j \in [r]} \sum_{i \in C_j} \lambda_{i,j'} u_i \otimes e_j = 0,$$

where j' is shorthand for the $j' \in A_i$ for which $v_{j'} = e_j$. Since the e_j form a basis, we have that, for all $j \in [r]$,

$$\sum_{i \in C_j} \lambda_{i,j'} u_i = 0.$$

Since $|C_j| \leq s$ for each j and the u_i are generic, we have that $\lambda_{i,j'} = 0$ for all $(i, j') \in E$. Thus, the vectors $u_i \otimes v_j$ are linearly independent, as desired. \square

We can use the above result to address the case $s = 1$.

Corollary 3.2. *Let $A_1, \dots, A_m \subseteq [n]$, and set $E = \bigcup_{i=1}^m \{i\} \times A_i \subseteq [m] \times [n]$. Then E is independent in $\mathbb{T}_{m,n}(1, r, p)$ if and only if*

$$(1) \quad |A_i \cap A_j| = 0 \quad \text{for all distinct } i, j \in [m]$$

$$(2) \quad \sum_{i=1}^m |A_i| \leq r.$$

Proof. First, we prove that (1) and (2) are necessary. If there exists some $k \in A_i \cap A_j$, then $u_i \otimes v_k$ and $u_j \otimes v_k$ are linearly dependent, so (1) is necessary. The necessity of (2) is obvious, as $\dim(\mathbf{k} \otimes \mathbf{k}^r) = r$.

To prove sufficiency, note that (1) implies we are in the disjoint case. Thus, we can apply Lemma 3.1 with $s = 1$. \square

3.2. The case $s = 2$. We now give a description of $\mathbb{T}_{m,n}(2, r, p)$ for every p and r . A different description of $\mathcal{B}_{m,n}(m-2, m-2)$ was given in [Tsa24, Proposition 2], which gives a description of $\mathbb{T}_{m,n}(2, r, 0)$.

Proposition 3.3 (Case $s = 2$). *Let $A_1, \dots, A_m \subseteq [n]$ be sets of size at most r , and set $E = \bigcup_{i=1}^m \{i\} \times A_i \subseteq [m] \times [n]$. Then E is independent in $\mathbb{T}_{m,n}(2, r, p)$ if and only if*

$$(3) \quad |A_i \cap A_j \cap A_k| = 0 \quad \text{for all distinct } i, j, k \in [m]$$

$$(4) \quad \sum_{1 \leq i < j \leq m} |A_i \cap A_j| + \left| A_k \setminus \bigcup_{i \in [m] \setminus \{k\}} A_i \right| \leq r \quad \text{for all } k \in [m]$$

$$(5) \quad \sum_{i=1}^m |A_i| \leq 2r.$$

Proof. For any set $A \subseteq [n]$, let V_A be the subspace of \mathbf{k}^r spanned by the generic vectors v_i for $i \in A$.

The fact that these inequalities are necessary is not hard to see. The last inequality is equivalent to requiring $|E| \leq 2r = \dim(\mathbf{k}^2 \otimes \mathbf{k}^r)$. For (4), let $W = \text{span}\{u_i \otimes v_j : (i, j) \in E\}$. Observe that, for all $i < j \in [m]$, $\mathbf{k}^2 \otimes V_{A_i \cap A_j} \subseteq W$. In particular, $u_k \otimes V_{A_i \cap A_j} \subseteq W$. Also note that $u_k \otimes V_{A_k \setminus \bigcup_{i \neq k} A_i} \subseteq W$. For these to be linearly independent we must have (4).

If $\ell \in A_i \cap A_j \cap A_k$ for some distinct $i, j, k \in [m]$, then we have linearly dependent vectors $u_i \otimes v_\ell, u_j \otimes v_\ell, u_k \otimes v_\ell \in \mathbf{k}^2 \otimes \mathbf{k}^r$. This shows that (3) is necessary.

If the above inequalities are satisfied, then we show that $\{u_i \otimes v_j : (i, j) \in E\}$ are independent for a semi-explicit choice of the $u_i \in \mathbf{k}^2$ and $v_j \in \mathbf{k}^r$. Let e_1, \dots, e_r be a basis of \mathbf{k}^r . Let S_1 be the elements of $\bigcup_{i=1}^m A_i$ that appear in exactly one A_i . Let S_2 be the elements which appear in exactly two A_i . By (3), we have that $S_1 \cup S_2 = \bigcup_{i=1}^m A_i$. Observe then that (4) and (5) can thus be re-written as

$$(6) \quad |A_i \cap S_1| + |S_2| \leq r \quad \text{for all } i$$

$$(7) \quad |S_1| + 2|S_2| \leq 2r$$

Pick u_1, \dots, u_m to be generic vectors in \mathbf{k}^2 , so any pair of the vectors are linearly independent. For each $j \in S_2$, set v_j to be a separate e_i for $i \in \{1, 2, \dots, |S_2|\}$. This is possible by (4) as $|S_2| \leq r$. Finally, set the v_i for $i \in S_1$ to be generic vectors in the space spanned by $\{e_i : i \in \{|S_2| + 1, \dots, r\}\}$.

It is not hard to see from these choices that $\{u_i \otimes v_j : (i, j) \in E\}$ are independent if and only if $\{u_i \otimes v_j : (i, j) \in E, j \in S_1\}$ are independent, as the vectors in S_2 and S_1 are in disjoint subspaces. Thus a non-zero linear combination of $\{u_i \otimes v_j : (i, j) \in E, j \in S_2\}$ cannot intersect with the subspace spanned by $\{u_i \otimes v_j : (i, j) \in E, j \in S_1\}$. As $j \in S_2$ only appears in two of the A_i , we also see that the set $\{u_i \otimes v_j : (i, j) \in E, j \in S_2\}$ is linearly independent and indeed spans $\mathbf{k}^2 \otimes \mathbf{k}^{|S_2|}$.

◇	◇	◇						
			◇	◇	◇			
★	★	★	★	★	★		◇	◇
★	★	★	★	★	★	◇		◇
★	★	★	★	★	★	◇	◇	

FIGURE 2. (red ◇) A circuit of $T_{5,9}(3, 4, p)$ which violates (11) but none of (8),(9),(10), or (12). (blue ★) A corresponding Laman circuit in $B_{5,9}(2, 5)$. See Figure 1 for how to interpret.

Then $\{u_i \otimes v_j : (i, j) \in E, j \in S_1\}$ are vectors in the subspace $\mathbf{k}^2 \otimes \mathbf{k}^{r-|S_2|}$. The result follows from applying Lemma 3.1 with the parameters $(m, n, s, r) = (m, n - |S_2|, 2, r - |S_2|)$ and the sets $A_i \cap S_1$ for $i \in [m]$. The inequalities needed to invoke Lemma 3.1 are exactly (6) and (7). \square

3.3. The case $s = 3$.

Proposition 3.4 (Case $s = 3$). *Let $A_1, \dots, A_m \subseteq [n]$ be sets of size at most r , and set $E = \bigcup_{i=1}^m \{i\} \times A_i \subseteq [m] \times [n]$. For $k \in \{1, 2, 3\}$, let S_k be the set of $j \in [n]$ which appear in exactly k of the A_i . Then E is independent in $T_{m,n}(3, r, p)$ if and only if*

- $$(8) \quad |A_i \cap A_j \cap A_k \cap A_\ell| = 0 \quad \text{for all distinct } i, j, k, \ell \in [m]$$
- $$(9) \quad |A_i \setminus S_3| + |S_3| \leq r \quad \text{for all } i \in [m]$$
- $$(10) \quad |(A_i \cap A_j) \setminus S_3| + |(A_k \cap A_\ell) \setminus S_3| + |S_3| \leq r \quad \text{for all distinct } i, j, k, \ell \in [m]$$
- $$(11) \quad |A_i \setminus S_3| + |A_j \setminus S_3| + |S_2 \setminus (S_3 \cup A_i \cup A_j)| + 2|S_3| \leq 2r \quad \text{for all distinct } i, j \in [m]$$
- $$(12) \quad |S_1| + 2|S_2| + 3|S_3| \leq 3r.$$

Example 3.5. A natural question that one may ask concerning Lemma 3.4 is whether each of these constraints is “strictly” necessary. We note that (8), (9), and (12) capture many simple Laman conditions, showing that sets such as $E = [4] \times [1]$, $E = [1] \times [r + 1]$, and $E = \{(i, i) : i \in [3r + 1]\}$ are dependent in $T_{m,n}(3, r, p)$.

The role of (11) is to capture more complex Laman conditions. To see why, assuming for simplicity that $|E| \leq 3r$ and that $S_3 = \emptyset$. Pick the sets $S = [m] \setminus \{i, j\}$ and $T = [n] \setminus (S_2 \setminus (A_i \cup A_j))$. Note that $|S| = m - 2 = a + 1$ and that $E \cap (S \times T) = \emptyset$. Therefore, the Laman condition imposes that $|T| \leq n - r$. Thus, $|S_2 \setminus (A_i \cup A_j)| \geq r$. Since $3r \geq |E| \geq |A_1| + |A_2| + 2|S_2 \setminus (A_i \cup A_j)|$, we may deduce (11). See Figure 2 for an example a circuit caught by (11) and the corresponding Laman condition violation.

The role of (10) is to systematically capture non-Laman circuits similar to the one described in Figure 1.

Proof. Let $W = \text{span}\{u_i \otimes v_j : (i, j) \in E\}$. For any set $A \subseteq [n]$, let V_A be the subspace of \mathbf{k}^r spanned by the generic vectors v_i for $i \in A$.

The necessity of (8) and (12) is obvious. To show the necessity of (9), observe that $\mathbf{k}^3 \otimes V_{S_3} \subseteq W$. Thus, $u_i \otimes V_{S_3} \subseteq W$. Also note that $u_i \otimes V_{A_i \setminus S_3} \subseteq W$. For these two subspaces to be linearly independent we must have $|A_i \setminus S_3| + |S_3| \leq r$.

Next, we show (10) is necessary. Consider distinct $i, j, k, \ell \in [m]$ and let u be a nontrivial vector in $\text{span}\{u_i, u_j\} \cap \text{span}\{u_k, u_\ell\}$. As before, $u \otimes V_{S_3} \subseteq W$. Further, $u \otimes V_{(A_i \cap A_j) \setminus S_3} \subseteq W$ and $u \otimes V_{(A_k \cap A_\ell) \setminus S_3} \subseteq W$. If these subspaces are linearly independent, then (10) must hold.

To finish the proof of the necessity of the inequalities, we show (11) is necessary. Consider distinct $i, j \in [m]$. For each $k \in S_2 \setminus (S_3 \cup A_i \cup A_j)$, let u'_k be a nontrivial vector in $\text{span}\{u_i, u_j\} \cap \text{span}\{u_a, u_b\}$, where a and b are the two sets such that $k \in A_a \cap A_b$. Observe that $u_i \otimes V_{A_i \setminus S_3}, u_j \otimes V_{A_j \setminus S_3}, \sum_{k \in S_2 \setminus (S_3 \cup A_i \cup A_j)} u'_k \otimes v_k$, and $\text{span}\{u_i, u_j\} \otimes V_{S_3}$ are linearly independent subspaces of $W \cap (\text{span}\{u_i, u_j\} \otimes \mathbf{k}^r)$. This proves the necessity of (11).

Next, we prove the sufficiency of these equations by specializing some of the vectors. The strategy is to induct by finding a nice subspace to quotient by. We first use this strategy to reduce to the case $S_3 = \emptyset$.

Claim 3.6. *E is independent in $\mathbb{T}_{m,n}(3, r, p)$ if and only if $E \setminus \{(i, j) : j \in S_3\}$ is independent in $\mathbb{T}_{m,n}(3, r - |S_3|, p)$.*

Proof. Let e_1, \dots, e_r be a basis of \mathbf{k}^r . For each $i \in S_3$, we set v_i to a different basis element (which is possible as $|S_3| \leq r$ by (12)). For $j \in [n] \setminus S_3$ we choose the v_j to be generic vectors in the subspace spanned by $e_{|S_3|+1}, \dots, e_r$. We have that $E \setminus \{(i, j) : j \in S_3\}$ is independent in $\mathbb{T}_{m,n}(3, r - |S_3|, p)$ if and only if $\{u_i \otimes v_j : (i, j) \in E, j \notin S_3\}$ is independent in $\mathbb{T}_{m,n}(3, r, p)$. We see that

$$\text{span}\{u_i \otimes v_j : (i, j) \in E, j \in S_3\} \cap \text{span}\{u_i \otimes v_j : (i, j) \in E, j \notin S_3\} = 0.$$

As the u_i are generic, $\{u_i \otimes v_j : (i, j) \in E, j \in S_3\}$ is independent in $\mathbb{T}_{m,n}(3, r, p)$ if and only if $E \setminus \{(i, j) : j \in S_3\}$ is independent in $\mathbb{T}_{m,n}(3, r - |S_3|, p)$, so this implies the result. \square

With the assumption $S_3 = \emptyset$, we have a much simpler set of inequalities:

$$\begin{aligned} (13) \quad & |A_i| \leq r && \text{for all } i \in [n] \\ (14) \quad & |A_i \cap A_j| + |A_k \cap A_\ell| \leq r && \text{for all distinct } i, j, k, \ell \in [n] \\ (15) \quad & |A_i| + |A_j| + |S_2 \setminus (A_i \cup A_j)| \leq 2r && \text{for all distinct } i, j \in [n] \\ (16) \quad & |S_1| + 2|S_2| \leq 3r. \end{aligned}$$

We will proceed via induction on m and considering several cases.

Case 1, (13) is tight: Without loss of generality, assume that $i = 1$ in (13). Since $|A_1| = r$, we have that $V_{A_1} = \mathbf{k}^r$. For $i \geq 2$, let u'_i be the image of u_i in $\mathbf{k}^3 / \langle u_1 \rangle$. Then the u'_i are generic vectors in $\mathbf{k}^3 / \langle u_1 \rangle$.

We claim that the $(i, j) \in E$ with $i \geq 2$ give an independent set in $\mathbb{T}_{m-1,n}(2, r, p)$. This follows by checking the inequalities of Lemma 3.3: (3) follows because $|S_3| = 0$, (4) follows from (15) for A_1 and A_i , and (5) follows from (16). This means $\{u'_i \otimes v_j : (i, j) \in \bigcup_{k=2}^m \{k\} \times A_k\}$ is linearly independent, which implies $\{u_i \otimes v_j : (i, j) \in E\}$ is linearly independent.

Case 2, (14) is tight: Without loss of generality, assume that $(i, j, k, \ell) = (1, 2, 3, 4)$. As the u_i are generic vectors, any three of them are linearly independent and there is no common non-zero vector in the span of any three disjoint pairs $(u_{i_1}, u_{i_2}), (u_{i_3}, u_{i_4}), (u_{i_5}, u_{i_6})$.

Suppose $|A_1 \cap A_2| + |A_3 \cap A_4| = r$, so $\mathbf{k}^r = V_{A_1 \cap A_2} + V_{A_3 \cap A_4}$. Let u be a non-zero vector in $\text{span}\{u_1, u_2\} \cap \text{span}\{u_3, u_4\}$. We quotient $\mathbf{k}^3 \otimes \mathbf{k}^r$ by $u \otimes V_{A_1 \cap A_2} + u \otimes V_{A_3 \cap A_4} = u \otimes \mathbf{k}^r$. Let u'_j be the image of u_j in $\mathbf{k}^3 / \langle u \rangle$.

We set $A'_1 = A_1 \cup A_2$ and $A'_2 = A_3 \cup A_4$. We note u'_1, u'_2 are scalar multiples of each other and so are u'_3, u'_4 . Observe that $\{u'_1, u'_3, u'_5, \dots, u'_m\}$ is a set of generic vectors, i.e., any pair is linearly independent.

Let $E' = (\{1\} \times A'_1) \cup (\{3\} \times A'_2) \cup \bigcup_{i=5}^m (\{i\} \times A_i)$. We claim that $\{u'_i \otimes v_j : (i, j) \in E'\}$ is linearly independent in $(\mathbf{k}^3 / \langle u \rangle) \otimes \mathbf{k}^r$. This follows by checking the conditions in Lemma 3.3 for $A'_1, A'_2, A_5, \dots, A_m$. Indeed, (3) easily follows. Let $B = (A_1 \cap A_2) \cup (A_3 \cap A_4)$, which has size exactly r because $A_1 \cap A_2 \cap A_3 \cap A_4 = \emptyset$. We see that $|A'_1| + |A'_2| + \sum_{i=5}^m |A_i| = \sum_{i=1}^m |A_i| - |B| \leq 2r$, so (5) holds. To check (4), we use (15) for

A_1, \dots, A_m and the fact that the indices which appear in two sets for $A'_1, A'_2, A'_3, \dots, A'_m$ are precisely $S_2 \setminus B$, and $|S_2 \setminus B| = |S_2| - |B| = |S_2| - r$.

If we have a non-zero linear combination of $\{u_i \otimes v_j : (i, j) \in E\}$ which is zero, then quotienting by $u \otimes \mathbf{k}^r$ and using the linear independence of $\{u'_i \otimes v_j : (i, j) \in E'\}$ gives a contradiction.

Case 3, (15) is tight: Without loss of generality, assume that $|A_1| + |A_2| + |S_2 \setminus (A_1 \cup A_2)| = 2r$. For each $i \in S_2 \setminus (A_1 \cup A_2)$, let u'_i be a non-zero element of $\text{span}\{u_1, u_2\} \cap \text{span}\{u_j, u_k\}$, where j, k are the two indices such that $i \in A_j \cap A_k$.

Claim 3.7. *The three subspaces $\langle u_1 \rangle \otimes V_{A_1}, \langle u_2 \rangle \otimes V_{A_2}$, and $\sum_{i \in S_2 \setminus (A_1 \cup A_2)} \langle u'_i \rangle \otimes \langle v_i \rangle$ span $\text{span}\{u_1, u_2\} \otimes \mathbf{k}^r$.*

Proof. We see that $\langle u_1 \rangle \otimes V_{A_1}, \langle u_2 \rangle \otimes V_{A_2}$, and $\sum_{i \in S_2 \setminus (A_1 \cup A_2)} \langle u'_i \rangle \otimes \langle v_i \rangle$ span a subspace of $\text{span}\{u_1, u_2\} \otimes \mathbf{k}^r$. We will show it spans the whole space by proving that $\{u_1 \otimes v_i : i \in A_1\}, \{u_2 \otimes v_j : j \in A_2\}$, and $\{u'_i \otimes v_i : i \in S_2 \setminus (A_1 \cup A_2)\}$ are linearly independent.

We will use Lemma 3.3 for this. First note that, because the u_i are generic, the vectors $\{u_1, u_2\} \cup \{u'_i : i \in S_2 \setminus (A_1 \cup A_2)\}$ are generic vectors in $\text{span}\{u_1, u_2\}$. In the collection of sets $\{A_1, A_2\} \cup \{\{i\} : i \in S_2 \setminus (A_1 \cup A_2)\}$, the only non-empty pairwise intersection is between A_1 and A_2 . As $|A_1 \cap A_2| < r$, we can use this to check (3), (4), and (5). \square

Suppose there is a dependence relation in $\{u_i \otimes v_j : (i, j) \in E\}$:

$$(17) \quad \sum_{(i,j) \in E} \lambda_{i,j} u_i \otimes v_j = 0.$$

Consider the image of this relation in $\mathbf{k}^3 / \text{span}\{u_1, u_2\} \otimes \mathbf{k}^r$. All $u_i, i \geq 3$ are projected to non-zero scalar multiples, say α_i , of the same vector u . Then (17) becomes,

$$(18) \quad \sum_{i=3}^m \sum_{j \in A_i \setminus S_2} \alpha_i \lambda_{i,j} u \otimes v_j + \sum_{i \neq j \in [m] \setminus \{1,2\}} \sum_{k \in A_i \cap A_j} (\alpha_i \lambda_{i,k} + \alpha_j \lambda_{j,k}) u \otimes v_k = 0.$$

Note $\{v_j : j \in A_i \setminus S_2, i \geq 3\} \cup \{v_k : k \in A_i \cap A_j, i \neq j \in [m] \setminus \{1, 2\}\}$ is a set of at most r distinct vectors (we use $S_1 + 2S_2 \leq 3r$ and $|A_1| + |A_2| + |S_2 \setminus (A_1 \cup A_2)| = 2r$). Thus $\lambda_{i,j} = 0$ for $i \geq 3, j \in A_i \setminus S_2$ and $\alpha_i \lambda_{i,k} + \alpha_j \lambda_{j,k} = 0$ for $i \neq j \in [m] \setminus \{1, 2\}, k \in A_i \cap A_j$.

Using this in (17) gives us a linear dependence which contradicts Claim 3.7.

Case 4, $|S_2| = 0$: This is just the disjoint case (Lemma 3.1).

Case 5, $|S_1| \neq 0, |S_2| \neq 0$ and (13),(14),(15) are not tight: As $m \geq 3$, without loss of generality we can assume that $A_1 \cap S_1 \neq \emptyset$ and $A_2 \cap A_3 \neq \emptyset$. After relabeling, let $i \in A_1$ be in S_1 and $j \in A_2 \cap A_3$. We now set $v_i = v_j = e_1$ and quotient by $\mathbf{k}^3 \otimes e_1 \cong \text{span}\{u_1, u_2, u_3\} \otimes e_1$. Set $A'_1 = A_1 \setminus \{i\}$, $A'_2 = A_2 \setminus \{j\}$, $A'_3 = A_3 \setminus \{j\}$, and $A'_k = A_k$ for $k \geq 4$. Then A'_1, \dots, A'_m satisfy the inequalities (13),(14),(16) for $\mathbf{k}^3 \otimes \mathbf{k}^{r-1}$. The only nontrivial check is for (15), but if we re-write (15) as $|S_2 \cup A_1 \cup A_2| + |A_1 \cap A_2| \leq 2r - 1$ (as (15) is not tight) then we see A'_i satisfy the needed inequality.

Case 6, $|S_1| = 0, |S_2| \neq 0$ and (13),(14),(15) are not tight: If (16) is not tight then $2|S_2| \leq 3r - 1$. Choose $i \in S_2$ and quotient by $\mathbf{k}^3 \otimes \langle v_i \rangle$. The new sets will satisfy the inequalities for $\mathbf{k}^3 \otimes \mathbf{k}^{r-1}$.

From now on we assume (16) is tight, which means $|S_2| = 3r/2$ (which also implies that r is even). In that case (15) simplifies even further to $|A_i \cap A_j| \leq r/2 - 1$ (as (15) is not tight), which also makes (14) redundant.

We claim that if $r \geq 1$, we can always pick $i_1, i_2, i_3 \in S_2$ with the following properties. Let $j_1, j'_1, j_2, j'_2, j_3, j'_3 \in [m]$ be such that $i_1 \in A_{j_1} \cap A_{j'_1}$, and so forth. Further, set $A'_i = A_i \setminus \{i_1, i_2, i_3\}$ for all $i \in [m]$. It is not hard to see that $|A'_1| + \dots + |A'_m| = 3(r - 2)$. We claim that the following holds:

- If $e_1, e_2 \in \mathbf{k}^2$ are standard basis vectors, then $\text{span}\{u_{j_1}, u_{j'_1}\} \otimes e_1 + \text{span}\{u_{j_2}, u_{j'_2}\} \otimes e_2 + \text{span}\{u_{j_3}, u_{j'_3}\} \otimes (e_1 + e_2) = \mathbf{k}^3 \otimes \mathbf{k}^2$.

- $|A'_i| \leq r - 2$ for all $i \in [m]$.
- $|A'_i \cap A'_j| \leq (r - 2)/2$ for $i \neq j \in [m]$.

If all these properties are satisfied, then we can use induction to finish this case. By setting $v_{i_1} = e_1, v_{i_2} = e_2$, and $v_{i_3} = e_1 + e_2$ and quotienting out $\mathbf{k}^3 \otimes \text{span}\{e_1, e_2\}$, we reduce to a smaller case on $\mathbf{k}^3 \otimes \mathbf{k}^{r-2}$.

The third condition follows directly as $|A_i \cap A_j| \leq r/2 - 1$. One can check that the first condition is satisfied when the pairs $\{j_1, j'_1\}, \{j_2, j'_2\}, \{j_3, j'_3\}$ are distinct and no index appears in the multiset $\{j_1, j'_1, j_2, j'_2, j_3, j'_3\}$ more than twice.³ As $|A_i \cap A_j| \leq r/2 - 1$ for every i, j and $S_2 = 3r/2$, we have at least three pairs to choose from. We would be forced to pick the same index thrice if there are only 4 sets A_1, A_2, A_3, A_4 and one of them intersects with the rest but the rest do not intersect with each other, but that would contradict that $S_2 = 3r/2$.

For the second condition, if $|A_i| > r - 2$, then i must appear at least $|A_i| - (r - 2)$ times in $\{j_1, j'_1, j_2, j'_2, j_3, j'_3\}$. As $|A_i| \leq r - 1$ we only have to ensure $|A_i| = r - 1$ happens at most 6 times. If it happens 7 times, say for A_1, \dots, A_7 then $|A_i \cap A_j| \geq r/2 - 2$ for $i \neq j = 1, \dots, 7$. This gives us that $21r/2 - 42 \leq |S_2| = 3r/2$ which implies $r \leq 42/9$. As r is even we have $r \in \{2, 4\}$. In either case we have $|S_2| \leq 6$ and $|A_i| = r - 1$ for $i = 1, \dots, 7$, so the fact that $|S_3| = |S_1| = 0$ leads to a contradiction. \square

Proof of Theorem 1.2. The description of $\mathsf{T}_{m,n}(s, r, 0)$ when $s \leq 3$ above shows that we can check independence in $\mathsf{T}_{m,n}(s, r, 0)$ by checking polynomially many conditions (the case $s = 0$ is immediate). By Theorem 1.1, $\mathsf{T}_{m,n}(s, r, 0)$ is dual to $\mathcal{B}_{m,n}(m - s, n - r)$, so a set is independent in $\mathsf{T}_{m,n}(s, r, 0)$ if and only if its complement is spanning in $\mathcal{B}_{m,n}(m - s, n - r)$. By [HK81], there is a polynomial time algorithm to compute the rank function of a matroid by checking if polynomially many sets are spanning. \square

3.4. Characterizing the Laman condition. In this section, we prove Corollary 1.5. One consequence of Corollary 1.5 is that, when $m - a \leq 2$, the Laman circuits are the circuits of a matroid; Example 1.4 shows that this is false in general. It will be necessary to prove this directly in order to prove Corollary 1.5.

Any subset U of $[m] \times [n]$ is contained in some *minimal rectangle* $S \times T$. We say that U *violates the Laman condition* if there is some rectangle $S \times T$ with $|S| \geq a, |T| \geq b$ such that $|U \cap S \times T| > |S|b + |T|a - ab$. Clearly any subset which violates the Laman condition contains a minimal subset which violates the Laman condition. In general, a minimal subset which violates the Laman condition need not contain a Laman circuit (which are, by definition, minimal dependent sets).

Lemma 3.8. *Let C_1, C_2 be distinct minimal sets which violate the Laman condition whose minimal rectangles are $S_1 \times T_1$ and $S_2 \times T_2$, respectively. Suppose that $|S_1 \cap S_2| \geq a$. Then, for any $e \in C_1 \cup C_2$, $C_1 \cup C_2 \setminus e$ violates the Laman condition.*

Proof. We have $|C_1| \geq b|S_1| + a|T_1| - ab + 1$ and $|C_2| \geq b|S_2| + a|T_2| - ab + 1$. Therefore

$$|C_1 \cup C_2 \setminus e| \geq b(|S_1| + |S_2|) + a(|T_1| + |T_2|) - 2ab + 1 - |C_1 \cap C_2|.$$

We claim that we have the bound

$$b|S_1 \cap S_2| + a|T_1 \cap T_2| - ab \geq |C_1 \cap C_2|.$$

Given this inequality, we see that $|C_1 \cup C_2 \setminus e| \geq b|S_1 \cup S_2| + a|T_1 \cup T_2| - ab + 1$. As $C_1 \cup C_2 \setminus e$ is contained in the rectangle $(S_1 \cup S_2) \times (T_1 \cup T_2)$, this implies the result.

First suppose that $|T_1 \cap T_2| \geq b$. Note that, because $C_1 \neq C_2$, $C_1 \cap C_2$ does not violate the Laman condition and is contained in $(S_1 \cap S_2) \times (T_1 \cap T_2)$. The claimed inequality follows.

Now suppose that $|T_1 \cap T_2| \leq b$. Write $|S_1 \cap S_2| = a + \ell$ for some $\ell \geq 0$. Then we have that

$$b|S_1 \cap S_2| + a|T_1 \cap T_2| - ab = b\ell + a|T_1 \cap T_2|.$$

³And this is not a characteristic-dependent condition.

The bound $|C_1 \cap C_2| \leq |S_1 \cap S_2| \cdot |T_1 \cap T_2| = (a + \ell)|T_1 \cap T_2|$ implies the claim, as $b\ell \geq \ell|T_1 \cap T_2|$. \square

Lemma 3.9. *For m, n, a, b with $m - a \leq 2$, the minimal sets which violate the Laman condition in $[m] \times [n]$ form the circuits of a matroid.*

Proof. We must check the circuit elimination axiom. Let C_1, C_2 be distinct minimal sets which violate the Laman condition, and let $S_1 \times T_1, S_2 \times T_2$ be the minimal rectangles containing them. We have $|S_1| \geq a + 1$ and $|S_2| \geq a + 1$: if $|S_i| = a$, then no subset of $S_i \times T_i$ is large enough to violate the Laman condition. As $m - a \leq 2$, this implies that $|S_1 \cap S_2| \geq a$. It then follows from Lemma 3.8 that, for any $e \in C_1 \cup C_2$, $C_1 \cup C_2 \setminus e$ violates the Laman condition. \square

Proposition 3.10. *If $m - a \leq 2$, then the circuits of $\mathcal{B}_{m,n}(a, b)$ are the minimal sets which violate the Laman condition.*

Proof. We focus on the case $m - a = 2$; the cases $m = a$ and $m = a + 1$ are straightforward or can be deduced from the case $m - a = 2$. Let M_{Lam} be the matroid on $[m] \times [n]$ whose circuits are the minimal sets which violate the Laman condition. Note that every basis of $\mathcal{B}_{m,n}(a, b)$ is independent in M_{Lam} . The Laman condition implies that the rank of M_{Lam} is at most $na + mb - ab = 2b + na$, which is the rank of $\mathcal{B}_{m,n}(a, b)$, so the rank of M_{Lam} is the same as the rank of $\mathcal{B}_{m,n}(a, b)$. It therefore suffices to show that if $F \subseteq [m] \times [n]$ is independent in M_{Lam} with $|F| = 2b + na$, then the complement F^c is independent in $T_{m,n}(2, n - b, 0)$. Set $F^c = \cup_i \{i\} \times A_i$.

We check that F^c satisfies the inequalities in Proposition 3.3 (with $r = n - b$). That $|A_i| \leq n - b$ follows from the Laman condition applied to $([m] \setminus \{i\}) \times [n]$ and the fact that $|F| = 2b + na$.

Condition (5) holds: it is equivalent to requiring that $|F| \geq 2b + na$.

Condition (3) holds: suppose $i \in A_j \cap A_k \cap A_\ell$, i.e., $|F \cap [m] \times \{i\}| < a$. The Laman condition implies that $|F \cap [m] \times ([n] \setminus i)| \leq 2b + a(n - 1)$. But this implies that $|F| < 2b + an$, a contradiction.

Condition (4) holds: let S_1 be the set of elements in $[n]$ which occur in exactly 1 of the A_i , let S_2 be the elements in $[n]$ which occur in exactly two of the A_i , and suppose $|A_i \cap S_1| + |S_2| > n - b$. Consider the complement of the i th row. The Laman condition implies that there can be at most b columns j where F contains $([m] \setminus i) \times \{j\}$. The other $n - b$ columns have at least one entry missing from $([m] \setminus i) \times \{j\}$. We see that $|S_1| - |A_i \cap S_1|$ of these columns can arise from elements of S_1 , and $|S_2|$ of these columns can arise from elements of S_2 . Therefore

$$n - b \leq (|S_1| - |A_i \cap S_1|) + |S_2|.$$

Adding this to the equation $|A_i \cap S_1| + |S_2| > n - b$, we see that $2(n - b) < |S_1| + 2|S_2|$. But $2(n - b) = |S_1| + 2|S_2|$ because $|F| = 2b + na$. \square

The circuits which are not Laman circuits that we will use to prove Corollary 1.5 will be built out of Example 1.4 using the following result.

Proposition 3.11. *Let $S = \{(1, 1), \dots, (1, n)\}$. For any $p \geq 0$ and $0 < s < m$, the contraction $T_{m,n}(s, r, p)/S$ is $T_{m-1,n}(s-1, r, p)$, and the deletion $T_{m,n}(s, r, p) \setminus S$ is $T_{m-1,n}(s, r, p)$.*

Proof. Let U, V be vector spaces over an infinite field \mathbf{k} of characteristic p of dimensions s, r respectively. Choose generic vectors $u_1, \dots, u_m \in U$ and $v_1, \dots, v_n \in V$. The contraction $T_{m,n}(s, r, p)/S$ is represented by the vector configuration $u_2 \otimes v_1, u_2 \otimes v_2, \dots, u_m \otimes v_n \in U/(\mathbf{k} \cdot u_1) \otimes V$, and the deletion $T_{m,n}(s, r, p) \setminus S$ is represented by $u_2 \otimes v_1, u_2 \otimes v_2, \dots, u_m \otimes v_n \in U \otimes V$. \square

Using Proposition 3.11 and Theorem 1.1(3), we obtain a simple proof of the ‘‘cone lemma’’ [KNN16, Lemma 3.12] for bipartite rigidity.

Corollary 3.12. *Let G be a bipartite graph on $[m] \times [n]$. Let $C_L G$ (respectively $C_R G$) be the bipartite graph on $[m+1] \times [n]$ (resp. $[m] \times [n+1]$) obtained by adding a vertex to G on the left (resp. right) and then connecting it to everything in $[n]$ (resp. $[m]$). Then G is independent in $\mathcal{B}_{m,n}(a,b)$ if and only if $C_L G$ is independent in $\mathcal{B}_{m+1,n}(a+1,b)$ (resp. $C_R G$ is independent in $\mathcal{B}_{m,n+1}(a,b+1)$).*

Proof of Corollary 1.5. Suppose $2 \leq a < m-2$ and $2 \leq b < n-2$. Let G be the graph described in Example 1.4, which is dependent in $\mathcal{B}_{5,5}(2,2)$ but does not contain a Laman circuit. If we perform $a-2$ left cones and $b-2$ right cones, then we obtain a graph \mathcal{H} which is dependent in $\mathcal{B}_{a+3,b+3}(a,b)$ but does not contain a Laman circuit. The restriction of $\mathcal{B}_{m,n}(a,b)$ to $[a+3] \times [b+3]$ is $\mathcal{B}_{a+3,b+3}(a,b)$, e.g. by Proposition 3.11, so \mathcal{H} also gives a dependent set which does not contain a Laman circuit for $\mathcal{B}_{m,n}(a,b)$.

If $a \leq 1$ or $b \leq 1$, then the result follows from [KNN16, Theorem 5.4], which is based on [Whi89]. If $m-a \leq 2$ or $n-b \leq 2$, then the result follows from Proposition 3.10. \square

3.5. Challenges for $s = 4$. Consider $m = n = 7$ and $s = r = 4$. Consider the pattern

$$E = (\{1, 2, 3\}^2 \setminus \{(3, 3)\}) \cup \{4, 5\}^2 \cup \{6, 7\}^2.$$

This is illustrated in Figure 3. Although E is of basis size and its complement satisfies the Laman conditions, it is not a basis of the matroid $T_{7,7}(4, 4, p)$ for any p .

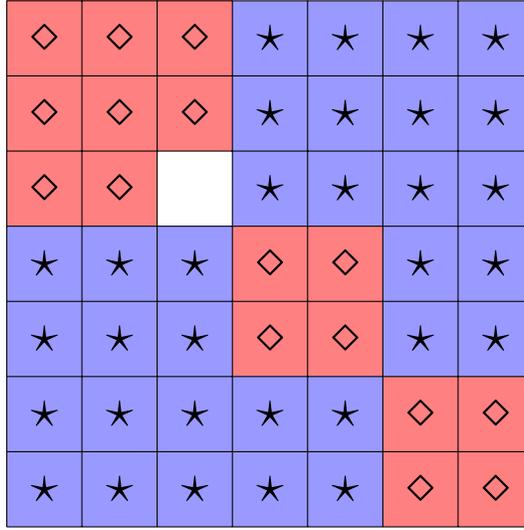


FIGURE 3. (red \diamond) A circuit of $T_{7,7}(4, 4, p)$. (blue \star) The corresponding circuit in $\mathcal{B}_{7,7}(3, 3)$. See Figure 1 for how to interpret.

Proposition 3.13. *For all p , E is not a basis of $T_{7,7}(4, 4, p)$.*

Proof. Let $u_1, \dots, u_7, v_1, \dots, v_7 \in \mathbf{k}^4$ be generic. Further define

$$W_0 = \text{span}\{u_i \otimes v_j : (i, j) \in \{1, 2, 3\}^2\}$$

$$W_1 = \text{span}\{u_i \otimes v_j : (i, j) \in \{1, 2, 3\}^2 \setminus \{(3, 3)\}\}$$

$$W_2 = \text{span}\{u_i \otimes v_j : (i, j) \in \{4, 5\}^2\}$$

$$W_3 = \text{span}\{u_i \otimes v_j : (i, j) \in \{6, 7\}^2\}.$$

Assume for the sake of contradiction that $\dim(W_1 + W_2 + W_3) = 16$. Note that there exists $w_2 \in W_0 \cap W_2$ and $w_3 \in W_0 \cap W_3$. Since W_1, W_2 , and W_3 are linearly independent, then W_1, w_2, w_3 are linearly independent. This implies that $\dim(W_1 + \text{span}\{w_2\} + \text{span}\{w_3\}) = 10$, which contradicts the fact that $W_1 + \text{span}\{w_2\} + \text{span}\{w_3\} \subseteq W_0$. \square

Note that the example of Proposition 3.13 is an obstruction to extending the proof of Proposition 3.4 to $s = 4$. In the proof of Proposition 3.4, once we reduce to the $S_3 = \emptyset$ case, we show (through six cases) that a set $E \subseteq [m] \times [n]$ is dependent if and only if there is a tensor product $R = L \otimes \mathbf{k}^r$ for some $L \subseteq \mathbf{k}^s$ and a partition E_1, E_2, \dots, E_ℓ of E such that $\sum_{i=1}^{\ell} \dim((\text{span } E_i) \cap R) > r \dim L$. This gives a family of inequalities that are satisfied by independent sets, and by this method we obtain all the inequalities in Proposition 3.4. To prove the sufficiency of these inequalities, we use an inductive argument: when one such inequality is tight then we can quotient by the tensor subspace $L \otimes \mathbf{k}^r$ and work over the smaller space $(\mathbf{k}^s/L) \otimes \mathbf{k}^r$. In the example of Proposition 3.13, the dependence is detected by looking at a subspace $L \otimes L$ in $\mathbf{k}^4 \otimes \mathbf{k}^4$, where $\dim L = 3$. As $(\mathbf{k}^4 \otimes \mathbf{k}^4)/(L \otimes L)$ is not naturally the tensor product of two spaces, the simple inductive argument of Proposition 3.4 will not work.

4. CHARACTERISTIC INDEPENDENCE

In this section, we prove Theorem 1.6. The cases $s = 0$ and $m = s$ are trivial. The descriptions of $\mathbb{T}_{m,n}(s, r, p)$ when $s \leq 3$ (Section 3) or when $m - s = 1$ ([GHK⁺17]) are independent of the characteristic, so it remains to do the case when $m - s = n - r = 2$. For this we use Bernstein's description of the independent sets of $\mathcal{B}_{m,n}(2, 2)$, Theorem 1.7, which gives a description of $\mathbb{T}_{m,n}(m - 2, n - 2, 0)$. The following result shows that the dependent sets of $\mathbb{T}_{m,n}(m - 2, n - 2, 0)$ are also dependent in $\mathbb{T}_{m,n}(m - 2, n - 2, p)$, so we only need to show that the bases of $\mathbb{T}_{m,n}(m - 2, n - 2, 0)$ are bases of $\mathbb{T}_{m,n}(m - 2, n - 2, p)$.

Proposition 4.1. *Any independent set of $\mathbb{T}_{m,n}(s, r, p)$ is an independent set of $\mathbb{T}_{m,n}(s, r, 0)$.*

Proof. The proof of Theorem 1.1 shows that one can check if a set is independent in $\mathbb{T}_{m,n}(s, r, 0)$ in terms of the rank of a matrix whose entries are polynomials with integer coefficients in $\{x_{ij}, y_{k\ell}\}$. We can check if a set is independent in $\mathbb{T}_{m,n}(s, r, p)$ by taking the same matrix and computing the rank over a field of characteristic p . \square

We now show that the independent sets of $\mathcal{B}_{m,n}(2, 2)$, as described in Theorem 1.7, are still independent in the dual of $\mathbb{T}_{m,n}(m - 2, n - 2, p)$ for any p .

Proposition 4.2. *If a bipartite graph has an edge orientation with no directed cycles or alternating cycles, then the graph is independent in the dual of $\mathbb{T}_{m,n}(m - 2, n - 2, p)$ for any p .*

Proof. Let G be a bipartite graph on $[m] \sqcup [n]$ which has an edge orientation with no directed cycles or alternating cycles. Let M_G be the $2|V(G)| \times |E(G)|$ matrix obtained by taking the columns of the matrix in Definition 2.3 (with $a = b = 2$) indexed by edges of G . Instead of using the variables $\{x_{ij}, y_{k\ell}\}_{(i,j) \in [m] \times [2], (k,\ell) \in [n] \times [2]}$, it will be convenient to use the variables $\{x_{vc}\}_{v \in V(G), c \in \{1,2\}}$. Set $2V(G) = \{x_{vc} : v \in V(G), c \in \{1,2\}\}$ to be the set of variables. We also index the rows of M_G by $2V(G)$. We will show that there is a maximal minor of M_G for which some monomial occurs with coefficient ± 1 , so M_G has the same rank in any characteristic. The proof of Theorem 1.1(3) then implies the result.

For each injective map $\sigma : E(G) \rightarrow 2V(G)$, we set $M_\sigma := \prod_{e \in E(G)} (M_G)_{\sigma(e), e}$. Up to a sign, this is one term in the expansion of a maximal minor of M_G . Since the column of M_G corresponding to an edge $e = (u, v)$ has only 4 non-zero entries x_{u1}, x_{u2}, x_{v1} , and x_{v2} , there are only a few M_σ that are non-zero. A non-zero M_σ can be represented by a 2-colored directed version of G (denoted G_σ): if $\sigma(e) = x_{uc}$, we color

(u, v) with color c and direct $u \rightarrow v$. Note that $(M_G)_{x_{uc}, e} = x_{vc}$. Set $\text{indeg}_{c, G_\sigma}(v)$ to be the number of edges directed towards v in G_σ of color c , and similarly define $\text{outdeg}_{c, G_\sigma}$. In order for the map σ to be injective, the outdegree of each vertex in G_σ must be at most 1 per color. In fact $\text{outdeg}_{c, G_\sigma}(v) = 1$ if $x_{vc} \in \sigma(E(G))$ and is 0 otherwise. We have

$$M_\sigma = \prod_{v \in V(G), c \in \{1, 2\}} x_{vc}^{\text{indeg}_{c, G_\sigma}(v)}.$$

Using the acyclic orientation of G with no alternating cycles, we construct a G_σ for which the corresponding monomial M_σ does not occur for any other injective map $\sigma': E(G) \rightarrow 2V(G)$. We color the edges of G with color 1 if they are oriented from $[m]$ to $[n]$, and we use color 2 if they are oriented from $[n]$ to $[m]$. This coloring has no monochromatic cycles or cycles which alternate in color. Now we pick out a root for every monochromatic connected tree, and we direct the edges towards the root. In this way, we obtain a directed 2-coloring of G , say G_σ . As $\text{outdeg}_{c, G_\sigma}(v) \leq 1$ for each v and c , this G_σ does indeed arise from an injective map $\sigma: E(G) \rightarrow 2V(G)$. Note that directions on the edges are *not* the same as the orientation we used to construct G_σ .

We claim that the monomial M_σ only appears once in the determinant of the minor of M_G with rows indexed by $\sigma(E(G))$, which is a maximal minor of M_G . Otherwise, there is another $G_{\sigma'}$ with the same monomial weight. Therefore

$$\text{indeg}_{c, G_\sigma}(v) = \text{indeg}_{c, G_{\sigma'}}(v), \text{ for any } v \in V(G) \text{ and each color } c \in \{1, 2\}.$$

Note that $\text{outdeg}_{c, G_\sigma}(v) = \text{outdeg}_{c, G_{\sigma'}}(v)$ for all c, v since $\sigma(E(G)) = \sigma'(E(G))$ as they appear in the same maximal minor and the range of σ determines which vertices have outdegree 1 for each color. Therefore

$$\text{deg}_{c, G_\sigma}(v) = \text{deg}_{c, G_{\sigma'}}(v), \text{ for any } v \in V(G) \text{ and color } c \in \{1, 2\}.$$

Note that G_σ and $G_{\sigma'}$ have to give different colors to at least one edge. Indeed, suppose they give the same color to each edge. Since G_σ has no monochromatic cycles, every monochromatic strongly connected component of G_σ is a tree. Each tree has a unique vertex with $\text{outdeg}_{c, G_\sigma} = 0$. We make that vertex the root of the tree and direct all edges of the tree towards the root. This recovers the directions for the edges of G_σ , as this is how G_σ was defined. But the same process can be followed for $G_{\sigma'}$ to arrive at the same directions for the edges, i.e., once the outdegrees for each color are fixed, there is only one way to direct the graph. This implies that $\sigma = \sigma'$, so G_σ and $G_{\sigma'}$ have to differ in at least one color if they are distinct.

However, consider the following process. We start with a vertex v_0 that is adjacent to an edge $e = (v_0, v_1)$ which is given a different color in G_σ and $G_{\sigma'}$. We can assume e has color 1 in G_σ and color 2 in $G_{\sigma'}$. Since $\text{deg}_{c, G_\sigma}(v_1) = \text{deg}_{c, G_{\sigma'}}(v_1)$, there must be another edge $e' = (v_1, v_2)$ adjacent to v_1 that has color 2 in G_σ and color 1 in $G_{\sigma'}$. Now we can use the same argument repeatedly to find a path $v_0 \rightarrow v_1 \rightarrow v_2 \rightarrow \dots$ which eventually self-intersects and forms a loop. However, this loop will be a cycle which alternates in color in G_σ , but there are no such cycles in G_σ . \square

Example 4.3. Consider the graph on the left of Figure 4, with the indicated edge orientation, which has no directed cycles or alternating cycles. After constructing the corresponding coloring with no monochromatic or alternating cycles, each color is a tree. We choose v_3 as a root of the red tree and u_1 as a root of the blue tree. If red is the first color and blue is the second color, then the corresponding monomial M_σ is $x_{u_1, 1} x_{v_1, 1} x_{v_3, 1}^2 x_{u_1, 2} x_{u_2, 2} x_{v_2, 2}^2$, and σ is the map given by $\sigma(\{u_1, v_1\}) = x_{v_1, 1}$, $\sigma(\{u_1, v_2\}) = x_{v_2, 2}$, $\sigma(\{u_1, v_3\}) = x_{u_1, 1}$, $\sigma(\{u_2, v_1\}) = x_{v_1, 2}$, $\sigma(\{u_2, v_2\}) = x_{u_2, 2}$, $\sigma(\{u_2, v_3\}) = x_{u_2, 1}$, $\sigma(\{u_3, v_1\}) = x_{u_3, 1}$, and $\sigma(\{u_3, v_2\}) = x_{u_3, 2}$.

Remark 4.4. The argument above can also be applied to rank 2 skew-symmetric matrix completion. A 2-coloring of the edges of a graph G is *unbalanced* if it has no monochromatic cycles or trails which alternate

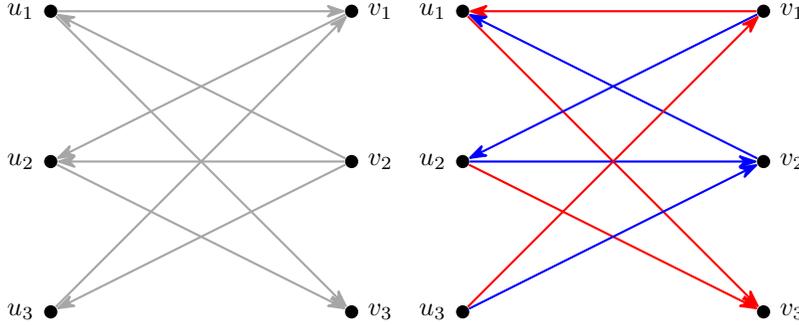


FIGURE 4. A bipartite graph G with an edge orientation which has no directed cycles or alternating cycles, and the corresponding G_σ .

in color. An acyclic orientation of the edges of G is *unbalanced* if it has no alternating trail. When G is bipartite, an unbalanced coloring is equivalent to an unbalanced acyclic orientation, so Theorem 1.7 states that G is independent in $\mathcal{B}_{m,n}(2, 2)$ if and only if it has an unbalanced coloring.

In [Ber17], Bernstein proved that a graph G has an unbalanced acyclic orientation if and only if it is independent in $\mathcal{H}_n(2)$. Extending the proof of Proposition 4.2, one can show that a graph with an unbalanced coloring is independent in the dual of $\mathbb{W}_n(n-2, p)$ for any p . This implies that a graph with an unbalanced coloring has an unbalanced acyclic orientation, but we do not know a combinatorial proof of this fact. We do not know if the converse holds.

Proof of Theorem 1.6. The case when $s = 0$ or $s = m$ is trivial. The case when $m - s = 1$ is proven in [GHK⁺17]. The case when $1 \leq s \leq 3$ is proven in Corollary 3.2, Proposition 3.3, and Proposition 3.4. The case when $m - s = n - r = 2$ is proven in Proposition 4.2. \square

5. CONJECTURAL DESCRIPTION OF THE BIPARTITE RIGIDITY MATROID

We now give a conjectural description of the independent sets of $\mathcal{B}_{m,n}(d, d)$ for all d . Using Proposition 3.11, this gives a description of the independent sets of $\mathcal{B}_{m,n}(a, b)$ for all a and b . Our conjecture is inspired by Bernstein's proof of Theorem 1.7 using tropical geometry [Ber17]. We show that the sets we describe are in fact independent in $\mathcal{B}_{m,n}(d, d)$, and moreover are independent in the dual of $\mathbb{T}_{m,n}(m-d, n-d, p)$ for all p . In particular, our conjecture implies that the tensor matroid is independent of the characteristic.

Definition 5.1. Given a bipartite graph G and an integer $d \geq 0$, a d -coloring of the edges of G is *d-Bernstein* if there are no monochromatic cycles and there exists a labeling $c: V(G) \rightarrow \mathbb{R}^d$ which sends $v \mapsto (c_1(v), c_2(v), \dots, c_d(v))$ that satisfies the following conditions:

- (1) $c_1(v) + c_2(v) + \dots + c_d(v) = 0$ for any $v \in V(G)$;
- (2) for every edge $(u, v) \in E(G)$ with color i , $c_i(u) + c_i(v) > c_j(u) + c_j(v)$ for any $j \in [d] \setminus \{i\}$.

Remark 5.2. When $d = 2$, we recover Bernstein's condition in Theorem 1.7, if we orient the edges with color 1 from $[m]$ to $[n]$ and orient the edges with color 2 from $[n]$ to $[m]$.

Proposition 5.3. *If a bipartite graph G admits a d -coloring that is d -Bernstein, then G is independent in the dual of $\mathbb{T}_{m,n}(m-d, n-d, p)$ for all p .*

Proof. The proof is almost identical to the proof of Proposition 4.2 except in the last step. The statement reduces to proving that if there is a d -Bernstein coloring of G say G_σ , then there does not exist another

d -coloring of G say $G_{\sigma'}$, where G_{σ} and $G_{\sigma'}$ differ in at least one edge color, and

$$\deg_{c, G_{\sigma}}(v) = \deg_{c, G_{\sigma'}}(v), \text{ for any } v \in V(G) \text{ and color } c \in [d].$$

Consider the following equality:

$$\sum_{v \in V(G), i \in [d]} \deg_{c, G_{\sigma}}(v) c_i(v) = \sum_{e=(u,v) \in E(G)} (c_{\sigma(e)}(u) + c_{\sigma(e)}(v)), \text{ where } \sigma(e) \text{ is the color of } e \text{ in } G_{\sigma}.$$

This equality also holds when we replace σ with σ' . However, as we change from σ to σ' , the left hand side does not change, but the right hand side strictly decreases because of condition (2) in Definition 5.1 and σ, σ' give different colors to at least one edge. \square

Conjecture 5.4. *If G is independent in $\mathcal{B}_{m,n}(d, d)$, then G admits a d -coloring that is d -Bernstein.*

When $d = 1$, this holds because $\mathcal{B}_{m,n}(1, 1)$ is the graphical matroid of the complete bipartite graph $K_{m,n}$. When $d = 2$, this is proven in [Ber17]. We have checked this conjecture for $\mathcal{B}_{5,5}(3, 3)$ and for $\mathcal{B}_{m,n}(d, d)$ when $d \geq m - 1$. This conjecture and Proposition 3.11 imply that $T_{m,n}(s, r, 0) = T_{m,n}(s, r, p)$ for all p .

Remark 5.5. The d -Bernstein condition is closely related to the notion of *Barvinok rank* for tropical matrices [DSS05]. Conjecture 5.4 is equivalent to saying that the Barvinok rank d cones, which are a subset of the cones in the tropical determinantal variety, determine the matroid $\mathcal{B}_{m,n}(d, d)$.

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